

FORM PTO-1390
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

XY-Lodo-USNP

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

US APPLICATION NO. (If known, see 37 CFR 1.5)

09/001,394
09/582809

INTERNATIONAL APPLICATION NO.

PCT/US98/27909

INTERNATIONAL FILING DATE

31 December 1998

PRIORITY DATE CLAIMED

31 December 1997

TITLE OF INVENTION

SEX SPECIFIC INSEMINATION OF MAMMALS WITH LOW NUMBER OF SPERM CELLS

APPLICANT(S) FOR DO/EO/US

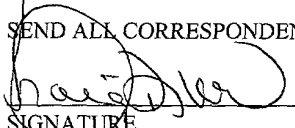
George E. Seidel, Lisa Herickhoff, John Schenk

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is the FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is the SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b)) and PCT articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 along with copies of cited references.
12. ☒ An assignment document for recording for George E. Seidel. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included along with a recordation fee.
13. ☒ A FIRST preliminary amendment (to be entered with this filing prior to calculation of the filing fee).
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and or address letter.
16. ☒ Other items or information:
 - a. Verified Statement Establishing Small Entity Status (Small Business)
 - b. Verified Statement Establishing Small Entity Status (University Employee)
 - c. Verified Statement Establishing Small Entity Status (University)
 - d. Power of Attorney of Dr. Mervyn Jacobson, President of XY, Inc.
 - e. a copy of the International PCT application
 - f. a copy of the Article 34 Amendment
 - g. an Assignment of Patent Rights for Lisa Herickhoff and John Schenk along with a recordation cover sheet & recordation fee
 - h. a Power of Attorney for Arundeeep S. Pradhan, Director of Technology Transfer for Colorado State University Research Foundation as agent for Colorado State University
 - i. a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (Form PTO-1390, rev 11-98) with attachments
 - j. a Letter of Transmittal
 - k. a firm check in the amount of \$ 1098.00
 - l. Certificates of Express Mailing for each document

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/00 582809		INTERNATIONAL APPLICATION NO PCT/US98/27909		ATTORNEY'S DOCKET NUMBER XY-Lodo-USNP	
17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO. \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . . \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4). \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfy provisions of PCT Article 33(1)-(4) \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS (PTO USE ONLY)	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	72-20 =	52	X \$18.00	\$ 936	
Independent claims	1- 3 =	0	X \$78.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$260.00				\$ 260	
TOTAL OF ABOVE CALCULATIONS =				\$ 2036	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1018	
SUBTOTAL =				\$ 1018	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 1018	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 80	
TOTAL FEES ENCLOSED =				\$ 1098	
Amount to be:				Refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,098.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any over payment to Deposit Account No. _____. A Duplicate copy of this sheet is enclosed.					
Note: Where the appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending process.					
SEND ALL CORRESPONDENCE TO:					
 SIGNATURE		<u>Craig R. Miles</u> NAME		<u>45,954</u> REGISTRATION NUMBER	

Express Mail No. EL550693793US
Attorney Docket:XY-Lodo-USNP

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of: George E. Seidel, Lisa Herickhoff, John Schenk

For: Sex Specific Insemination of Mammals With Low Number of Sperm Cells

Serial Number: (original US) 09/001,394 (new) _____
(original US) 09/015,454
(PCT) PCT/US98/27909

Filed: (original US) 31 December 1997 (new) _____
(original US) 29 January 1998
(PCT) 31 December 1998

Assignee:

FIRST PRELIMINARY AMENDMENT

Before examining the above-referenced application and before calculating application fees,
please

amend the application as follows:

In the specification:

At page 21, l. 23, prior to "sperm" insert "separated"

In the claims as amended under Article 34 of the PCT:

Please cancel claims 30-164 without prejudice.

Please amend the claims as follows:

Claim 1 (once amended).

A method of producing a mammal [having a predetermined sex] comprising the steps of:

- a. collecting sperm cells from a male of a species of mammal;
- [b. determining the sex characteristic of a plurality of said sperm cells;
- c. sorting said sperm cells according to the determination of their sex characteristic;]
- d. establishing an [sorted artificial] insemination sample having [from about 10% to about 50% of the] a low number of said sperm cells relative to a typical [unsorted artificial] insemination sample;
- e. inserting said [sorted artificial] insemination sample having said low number of said sperm cells into a female of said species of said mammal;
- f. fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable [(p>0.1)] to [said] a typical [unsorted artificial] insemination dosage; and
- g. producing an offspring mammal [of the desired sex].

Claim 2 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 1 wherein said step of fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable to a typical insemination dosage comprises the step of fertilizing said at least one egg within said female of said species at success levels selected from the group consisting of at least 35%, at least 41%, at least 50%, and at least 90% [collecting sperm cells from a male of a species of mammal comprises the step of collecting said sperm cells from said male of said species of said mammal selected from the group consisting of bovines, and equines].

Claim 3 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 1 or 2 wherein said step[s] of establishing an insemination sample having a low number of said sperm cells relative to a typical insemination dosage comprises the step of

establishing an insemination sample having no more than ten percent of the typical number of sperm provided in said typical insemination dosage [inserting said sorted artificial insemination sample into a female of said species of said mammal and fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable ($p > 0.1$) to the typical unsorted artificial insemination dosage are each accomplished in a field environment].

Claim 4 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 3 wherein said steps of inserting said [sorted artificial] insemination sample into a female of said species of said mammal and fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable [$(p > 0.1)$] to the typical [unsorted artificial] insemination dosage are each accomplished in a field environment [comprises the steps of repetitively inserting a significant number of said sorted artificial insemination samples into a significant number of said females of said species of said mammal in rapid succession and in farm or ranch conditions].

Claim 5 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 3 wherein said female of said species of said mammal has uterine horns and wherein said step of inserting said [sorted artificial] insemination sample into said female of said species of said mammal comprises the step of inserting said [sorted artificial] insemination sample both ipsi- and contra-lateral within the uterine horns of said female of said species of said mammal.

Claim 6 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 3 wherein said female of said species of said mammal has at least one uterine horn and wherein said step of inserting said [sorted] insemination sample into said female of said species of

said mammal comprises the step of inserting said [sorted artificial] insemination sample deep within said uterine horn.

Claim 7 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 5 wherein said step of inserting said [sorted artificial] insemination sample into a female of said species of said mammal further comprises the step of inserting said [sorted artificial] insemination sample deep within said uterine horns.

Claim 8 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 6 wherein said step of inserting said [sorted artificial] insemination sample into a female of said species of said mammal further comprises the step of inserting said [sorted artificial] insemination sample within said uterine horn through the use of embryo transfer equipment.

Claim 9 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 7 wherein said step of inserting said [sorted] insemination sample into a female of said species of said mammal further comprises the step of inserting said [sorted artificial] insemination sample within said uterine horns through the use of embryo transfer equipment.

Claim 10 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 5 wherein said step of inserting said [sorted artificial] insemination sample into a female of said species of said mammal comprises the step of inserting said [sorted artificial] insemination sample twelve hours after the time which is generally regarded as optimal for a single [artificial] insemination.

Claim 11 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 9 wherein said step of establishing a [sorted] insemination sample comprises the step of establishing an unfrozen [sorted artificial] insemination sample, [wherein said step of sorting said sperm cells according to the determination of their sex characteristic occurs at a sorting time, and] wherein said step of inserting said [sorted artificial] insemination sample into a female species of said mammal occurs not later than about seventeen hours from said [sorting time] step of establishing said insemination sample having a low number of said sperm cells relative to the typical artificial insemination dosage.

Claim 12 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 9 wherein said step of establishing a [sorted artificial] insemination sample comprises the step of establishing an unfrozen [sorted artificial] insemination sample, [wherein said step of sorting said sperm cells according to the determination of their sex characteristic occurs at a sorting time, and] wherein said step of inserting said [sorted artificial] insemination sample into a female species of said mammal occurs not later than about ten hours from said [sorting time] step of establishing said insemination sample having a low number of said sperm cells relative to the typical artificial insemination dosage.

Claim 13 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 1 further comprising the [wherein said] step of determining a sex characteristic of a plurality of said sperm cells [comprises the step of staining said sperm cells with at least about 38 micro-molar content of stain].

Claim 14 (once amended).

A method of producing a mammal having a predetermined sex as described in claim 13 further comprising the [wherein said] step[s] of [determining the sex characteristic of a plurality of said sperm cells and sorting] separating said sperm cells according to the

determination of said sex characteristic [comprise the steps of:

- a. establishing a cell source which supplies sperm cells to be sorted;
- b. chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort sperm cell fluid environment;
- c. sensing a property of said sperm cells;
- d. discriminating between said sperm cells having a desired sex characteristic; and
- e. collecting said sperm cells having the desired sex characteristic].

Claim 15 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 14 wherein said step[s of determining the sex characteristic of a plurality of said sperm cells and sorting] separating said sperm cells according to the determination of their sex characteristic comprises the steps of:

- a. establishing a sperm cell source which supplies [bovine] sperm cells to be [sorted] separated;
- [b. establishing a sheath fluid for said bovine sperm cells which contains about 2.9% sodium citrate;]
- c. sensing a property of said [bovine] sperm cells;
- d. discriminating between said [bovine] sperm cells having a desired sex characteristic; and
- e. collecting said [bovine] sperm cells having the desired sex characteristic.

Claim 16 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 15 wherein said steps of [determining the sex characteristic of a plurality of said sperm cells and sorting] separating said sperm cells according to the determination of their sex characteristic further comprises the steps of:

- a. [establishing a cell source which supplies equine sperm cells to be sorted] providing a flow cytometer;

- b. establishing a sheath fluid for said [equine] sperm cells [which contains a hepes buffered medium];
- [c. sensing a property of said equine sperm cells;
- d. discriminating between said equine sperm cells having a desired sex characteristic;]
- and
- e. collecting said [equine] sperm cells having the desired sex characteristic.

Claim 17 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 16 wherein [said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic comprise the steps of:

- a. establishing a cell source which supplies sperm cells to be sorted;
- b. establishing a sheath fluid for said sperm cells;
- c. sensing a property of said sperm cells;
- d. discriminating between said sperm cells having a desired sex characteristic; and
- e.] collecting said sperm cells having the desired sex characteristic [while] further comprises the step of cushioning said sperm cells from impact with a collector [wherein a cushioning element comprises initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector].

Claim 18 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 16 wherein said step[s] of [determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic comprise the steps of:

- a. establishing a cell source which supplies sperm cells to be sorted;
- b. establishing a sheath fluid for said sperm cells;

- c. sensing a property of said sperm cells;
- d. discriminating between said sperm cells having a desired sex characteristic; and
- e. collecting said sperm cells having the desired sex characteristic in a citrate collection fluid containing about six percent egg yolk prior to commencing said step of collecting] providing a sorting flow cytometer comprises providing a high speed sorting flow cytometer, wherein said high speed flow cytometer sorts said sperm cells at a rate of at least 500 sorts per second.

Claim 19 (once amended).

A method of producing a mammal [of a desired sex] as described in claim 3 and further comprising the step of [sorting said sperm cells at a rate of at least 500 sorts per second] using an ovulatory pharmaceutical to cause multiple eggs to be produced.

Claim 20 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 19 [and further comprising the step of using an ovulatory pharmaceutical to cause multiple eggs to be produced and wherein said step of fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable ($p > 0.1$) to the typical unsorted artificial insemination dosage comprises the step of fertilizing a plurality of said eggs to produce multiple, embryos having a predetermined sex,] wherein said ovulatory pharmaceutical is injected in half day increments between any of days 2 and 18 of the estrus cycle.

Claim 21(once amended).

A method of producing a mammal having a predetermined sex as described in claim 20 wherein said step of using an ovulatory pharmaceutical to cause multiple eggs to be produced comprises the step of injecting a dosage of follicle stimulating hormone.

Claim 22 (once amended).

A method of producing a mammal having a predetermined sex as described in claim 21 wherein said step of injecting said dosage of follicle stimulating hormone in approximately half day increments comprises a dosage level of 6, 6, 4, 4, 2, 2, 2, and 2 mg between days 9 and 12 inclusive of the estrus cycle and further comprising the step of injecting 25 and 12.5 mg of prostaglandin F-2-alpha on the sixth and seventh dosages, respectively, of said follicle stimulating hormone.

Claim 23 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 16 and wherein said step of determining the sex characteristic of a plurality of said sperm cells and said step of sorting said sperm cells according to the determination of their sex characteristic further compris[ing] the step[s] of[:

- a.] staining sperm cells of a male mammal with at least about 38 micro-molar content of stain[;
- b. sorting said sperm cells of said male mammal at a rate of at least 500 sorts per second; and
- c. concentrating said sorted sperm cells of said male mammal].

Claim 24 (once amended).

A method of producing a mammal having a predetermined sex as described in claim 16 [where said step of determining the sex characteristic of a plurality of said sperm cells comprises the step of staining said cells with a 38 micro-molar concentration of stain] further comprising the step of chemically coordinating a sheath fluid environment for sperm cells which is coordinated with both pre-sort and post-sort sperm cell fluid environments.

Claim 25 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 1, 2, 14, 15, 16, 17 or 18 [and further comprising the step of chemically coordinating a sheath fluid environment for sperm cells which is coordinated with both pre-sort and post-sort

sperm cell fluid environments] wherein collecting sperm cells from a male of a species of mammal comprises collecting said sperm cells from a male of a species selected from the group consisting of bovines, and equines.

Claim 26 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 25 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environments comprises the step of establishing a cell source which supplies bovine sperm cells and the step of establishing a sheath fluid which contains about 2.9% sodium citrate.

Claim 27 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 25 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said cells which is coordinated with both a pre-sort and a post-sort cell fluid environment comprises the step of establishing a cell source which supplies equine sperm cells and the step of establishing a sheath fluid which contains a hepes buffered medium.

Claim 28 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 16 wherein said step of [sorting said sperm cells according to the determination of their sex characteristic] collecting said sperm cells having the desired sex characteristic further comprises the step of [cushioning said cells from impact with a collector wherein cushioning said sperm cells comprises collecting said sperm cells into an initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to] avoiding impact of said sperm cells with said collector.

Claim 29 (once amended).

A method of producing a mammal [having a predetermined sex] as described in claim 28 wherein said step of [cushioning said cells from impact with a collector] avoiding impact of said sperm cells with said collector comprises the step of providing a collection container having a diameter of at least fifteen millimeters.

Please add the following claims:

Claim 183 (newly added).

A method of producing a mammal as described in claim 28 wherein said step of avoiding impact of said sperm cells with said collector comprises the step of providing a collection container having stream matched physical characteristics.

Claim 184 (newly added).

A method of producing a mammal as described in claim 16 wherein said step of collecting said sperm cells having the desired sex characteristic further comprises the step of providing a citrate collection fluid containing about six percent egg yolk prior to commencing said step of collecting.

Claim 185 (newly added).

A method of producing a mammal as described in claim 18 further comprises the step of operating said flow cytometer with in the range of about 5 kilohertz to about 50 kilohertz.

Claim 186 (newly added).

A method of producing a mammal as described in claim 185 further comprises the step of sorting said sperm at a rate of at least 1200 sorts per second.

Claim 187 (newly added).

A method of producing a mammal as described in claim 3 wherein said step of establishing an insemination sample having a low number of said sperm cells relative to the typical artificial insemination dosage comprises the step of establishing an insemination sample

selected from the group consisting of: a bovine insemination sample of no more than one hundred thousand sperm cells, a bovine insemination sample of no more than two hundred fifty thousand sperm cells, a bovine insemination sample of no more than three hundred thousand sperm cells, an equine insemination sample of no more than one million sperm cells, an equine insemination sample of no more than five million sperm cells, an equine insemination sample of no more than ten million sperm cells, and an equine insemination sample of no more than twenty-five million sperm cells.

Claim 188 (newly added).

A method of producing a mammal as described in claim 4 wherein said steps of inserting at least a portion of said insemination sample into a female species of said mammal and fertilizing at least one egg within said female species of said mammal at success levels statistically comparable to the typical unsexed artificial insemination dosage in a field environment comprises the steps of repetitively inserting a significant number of insemination samples into a significant number of female specie of said mammal in rapid succession and in farm or ranch conditions.

Claim 189 (newly added).

A method of producing a mammal as described in claim 14 wherein said step of inserting said insemination sample having said low number of said sperm cells into a female of said species of said mammal comprises inserting an insemination sample having a low number of sperm a substantial portion of which have the desired sex characteristic.

Claim 190 (newly added).

A method of producing a mammal as described in claim 189 wherein said step of inserting an insemination sample having a low number of sperm, wherein a substantial portion of said sperm have the desired sex characteristic comprises selecting said insemination sample having a low number of sperm from a group consisting of an insemination sample having a low number of sperm, wherein at least 60 percent of said sperm have the desired sex

characteristic, an insemination sample having a low number of sperm, wherein at least 70 percent of said sperm have the desired sex characteristic, an insemination sample having a low number of sperm, wherein at least 80 percent of said sperm have the desired sex characteristic, and an insemination sample having a low number of sperm, wherein at least 90 percent of said sperm have the desired sex characteristic.

Claim 191 (newly added).

A method of producing a mammal as described in claim 190 wherein said step of producing an offspring mammal comprises producing a predetermined sex ratio of fetuses.

REMARKS

A copy of the claims as amended under Article 34 during the PCT examination have been provided for the examiner's convenience. Claims 1-29 as allowed in the PCT have been amended as set out above. Additional new claims 183 to 191 have been added.

Claims 30-164 as amended and allowed in the PCT have been canceled, leaving only one original independent claim and its dependencies. In the original PCT application, an unusually large number of claims were presented in order to adequately claim the various aspects of the present invention(s) in dependent and alternatively independent fashions. Thus, the applicants have voluntarily reduced the number of claims to assist the examiners in their efforts to one independent claim (with the accompanying dependent claims and newly added claims) for consideration in this first application without waiving the right to add claims such as dependent claims already disclosed herein or to file other applications such as continuations, divisionals, or continuations in part.

The enclosed fee sheet is based on the claims remaining after this preliminary amendment.

CONCLUSION

Claims 1-29 and newly added claims 183 -191 remain in the application. The applicant believes all the claims to be in condition for allowance.

Dated this 30 day of June, 2000.

Respectfully Submitted,

SANTANGELO LAW OFFICES, P.C.

By: 

Craig R. Miles

ATTORNEY FOR APPLICANT

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Attorney Docket:XY-Lodo-USNP

IN THE UNITED STATES PATENT AND
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In Re the Application of: George E. Seidel, Lisa Herickhoff, John Schenk

For: Sex Specific Insemination of Mammals With Low Number of Sperm Cells

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(PCT) PCT/US98/27909

Filed: (original US) 31 December 1997 (new) _____
(original US) 29 January 1998
(PCT) 31 December 1998

Assignee:

CERTIFICATE OF EXPRESS MAILING

I, Shannon Nation-Kammerer, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Preliminary Amendment with the United States Postal Service as "Express Mail" for mailing to the Assistant Commissioner of Patents, Box PCT, Washington, D.C. 20231.

Dated this 30 day of June, 2000.



Shannon Nation-Kammerer

09/582809

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of: George E. Seidel, Lisa Herickhoff, John Schenk

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(PCT) PCT/US98/27909

Filed: (original US) 31 December 1997 (new) _____
(original US) 29 January 1998
(PCT) 31 December 1998

Assignee:

VERIFIED STATEMENT ESTABLISHING SMALL ENTITY STATUS
37 CFR 1.9(e)--UNIVERSITY OR OTHER INSTITUTION OF HIGHER LEARNING

I hereby declare that I am an official empowered to act on behalf of the University identified below:

Colorado State University
c/o Colorado State University Research Foundation
601 South Howes Street, Room 410
Fort Collins, CO 80523

Type of nonprofit organization: University.

I hereby declare that the nonprofit organization identified above is a University or other Institution of Higher Education and therefore qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in the specification filed herewith with title as listed above.

I hereby declare, to the best of my knowledge, rights under contract or law have been conveyed to and remain with the nonprofit organization except for an exclusive license which has been granted to XY, Inc.

I hereby declare that no rights to the invention are held by any concern which would not qualify as a small business concern under 37 CFR 1.9(d).

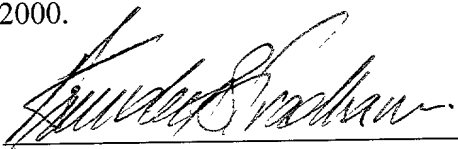
Each person, concern, or organization having any rights in the invention is listed below:

- 1) Colorado State University
c/o Colorado State University Research Foundation
P. O. Box 483
Fort Collins, CO 80523
- 2) XY, Inc.
3801 Rampart Road
ARBL Building
Fort Collins, CO 80523

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Made under OATH this 30th day of June, 2000.

By: 
Arundee S. Pradhan, Director of Technology Transfer
for Colorado State University Research Foundation
agent for Colorado State University

[illegible]

Shannon H. Nation-Kammerer
Notary Public
My Commission Expires: 7-15-2003

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of: George E. Seidel, Lisa Herickhoff, John Schenk

For: Sex Specific Insemination of Mammals With Low Number of Sperm Cells

Serial Number: (original US) 09/001,394 (new) _____
(original US) 09/015,454
(PCT) PCT/US98/27909

Filed: (original US) 31 December 1997 (new) _____
(original US) 29 January 1998
(PCT) 31 December 1998

Assignee:

**VERIFIED STATEMENT ESTABLISHING SMALL ENTITY STATUS
(UNIVERSITY EMPLOYEE)**

I, George E. Seidel, as an above named inventor of the invention described in the specification filed herewith with title as listed above, hereby declare that all actions involving the creation of the above referenced invention were taken by me as an employee who has acted on behalf of Colorado State University a non-profit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the Patent and Trademark Office.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

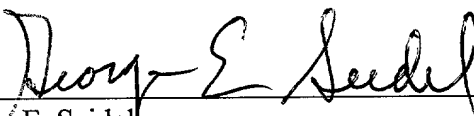
Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

Colorado State University
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MADE UNDER OATH this 29 day of June, 2000.

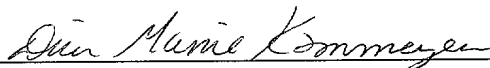


George E. Seidel

UNITED STATES OF AMERICA)
STATE OF COLORADO)ss.
COUNTY OF LARIMER)

SUBSCRIBED AND SWORN to before me in the County of Larimer, State of Colorado, United States of America, by George E. Seidel as Inventor, this 29th day of June, 2000.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Colorado.



Notary Public
My Commission Expires: 4-9-2004

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

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(PCT) 31 December 1998

Assignee:

**VERIFIED STATEMENT ESTABLISHING SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)**

I, Dr. Mervyn Jacobson as president of XY, Inc., pursuant to 37 C.F.R. Section 1.27(c) state that the following facts are true:

1. I am president of XY, Inc. As such I am empowered to act on behalf of the Assignee.
2. As set forth in 13 C.F.R. Section 121.12, XY, Inc. is an entity whose number of employees, including those of its affiliates, does not exceed 500 persons and which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the subject matter of the enclosed Patent Application. Exclusive rights to the invention have been conveyed to and remain with XY, Inc. XY, Inc appears to qualify as a small business concern as defined in 37 C.F.R. Section 1.9(d).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

By:

4 PRS
526 Rec'd PCT/PTO 30 JUN 2000SEX-SPECIFIC INSEMINATION OF MAMMALS WITH LOW NUMBER OF SPERM CELLS**I. TECHNICAL FIELD**

This invention relates generally to the field of sex selection in mammalian offspring.

It is especially relevant to the aspects of low dose artificial insemination and of increased production of eggs for creating the desired sex of offspring. Particularly, the invention relates to achieving sexed artificial insemination with low dosages regardless of sorting techniques, systems for sorting sperm via flow cytometry for sex-specific and low dose efforts at artificial insemination and increased ovulation results techniques, or the like.

II. BACKGROUND

For ages it has been desired to select the sex of specific offspring. Beyond obvious psychological aspects, the actual sex selection of mammalian offspring has significant economic consequences when one considers its application to food producing animals such as cattle as well as celebrated trophy animals such as horses and the like. This great desire has resulted in a significant variety of efforts to achieve sex-selected offspring. Probably the effort which has appeared most likely to achieve the desired results has been efforts at sorting and selecting between X and Y sperm prior to insemination.

One of the challenges that effort at sorting X and Y sperm has faced is the large numbers of sperm involved. In natural insemination sperm are produced in some species by the billions; in artificial insemination less, but still significantly large numbers of sperm are used. For instance, artificial insemination techniques commonly use ten million to five hundred million sperm (depending on species). Thus a significant number of sperm are necessary even in an artificial insemination environment.

Many methods have been attempted to achieve the separation of X- and Y-chromosome bearing sperm. These methods have ranged from magnetic techniques such as

appears disclosed in U.S. Patent No. 4276139 to columnar techniques as appears disclosed in U.S. Patent No. 5514537 to gravimetric techniques as discussed in U.S. Patents No. 3894529, reissue Patent No. 32350, U.S. Patents No. 4092229, 4067965, and 4155831. Electrical properties have also been attempted as shown in U.S. 4083957 as well as a combination of electrical and gravimetric properties as discussed in U.S. Patents No. 4225405, 4698142, and 4749458. Motility efforts have also been attempted as shown in U.S. Patents No. 4009260 and 4339434. Chemical techniques such as those shown in U.S. Patents No. 4511661 and 4999283 (involving monoclonal antibodies) and U.S. Patents No. 5021244, 5346990, 5439362, and 5660997 (involving membrane proteins), and U.S. Patents No. 3687803, 4191749, 4448767, and 4680258 (involving antibodies) as well as the addition of serum components as shown in U.S. Patent No. 4085205. While each of these techniques has been presented as if to be highly efficient, in fact at present none of those techniques yield the desired level of sex preselection. Regardless of the separation technique eventually used, however, the competing combinations of the high numbers of sperm naturally present and the approach of separating X- and Y- chromosome bearing sperm has made it desirable to develop an ability to achieve insemination with lower numbers of sperm.

At present, the only quantitative technique used to achieve the separation of X-and Y-chromosome bearing sperm has been that involving individual discrimination and separation of the sperm through the techniques of flow cytometry. This technique appeared possible as a result of advances and discoveries involving the differential dye absorption of X-and Y-chromosome bearing sperm. This was discussed early in U.S. Patent No. 4362246 and significantly expanded upon through the techniques disclosed by Lawrence Johnson in U.S. Patent No. 5135759. The Johnson technique of utilizing flow cytometry to separate X- and Y- chromosome bearing sperm has been so significant an advancement that it has for the first time made the commercial separation of such sperm feasible. While still experimental, separation has been significantly enhanced through the utilization of high speed flow cytometers such as the MoFlo® flow cytometer produced by Cytomation, Inc. and discussed in a variety of other patents including US Patent Nos. 5150313, 5602039, 5602349, and 5643796 as well as international PCT patent publication WO 96/12171. While the utilization of Cytomation's MoFlo® c ytometers has permitted great increases in speed, and while these

speed increases are particularly relevant given the high number of sperm often used, certain problems have still remained. In spite of the almost ten-fold advances in speed possible by the MoFlo® flow cytometer, shorter and shorter sorting times have been desired for several reasons. First, it has been discovered that as a practical matter, the sperm are time-critical cells. They lose their effectiveness the longer they remain unused. Second, the collection, sorting, and insemination timings has made speed an item of high commercial importance. Thus, the time critical nature of the sperm cells and of the process has made speed an essential element in achieving high efficacy and success rates.

Other problems also exist ranging from the practical to the theoretical. On the practical side, it has been desired to achieve sex-sorted sperm samples using inexpensive disposable components and substances. Also on the expense side, it has been desired to be able to achieve sorting (as well as collection and insemination) in as efficient a labor event as possible. Thus, for commercial production and success in the field, improvements which might only represent an increase in efficiency may still be significant. Related to the practical aspect of expense, is the practical aspect of the delicateness and sensitivity of the entire process. In this regard, it has been desired to simplify the process and make it as procedurally robust as possible so that operator error or skill can play an ever decreasing role. They have also combined to make insemination with lower dosages even more desirable.

In addition to the delicateness of the process, it has always been known that the sperm themselves are extremely delicate cells. While this factor at first glance seems like it might be considered easily understood, in fact, the full extent of the cells' sensitivities have not yet been fully explored. In the context of flow cytometry in general, most sorted cells or particles have often been spherical or otherwise physically able to withstand a variety of abuses. This is not the case for sperm cells. In fact, as the present invention discloses, the processing through normal flow cytometer techniques may, in fact, be unacceptable for cytometric sorting of sperm cells in certain applications. The sensitivities range from dilution problems and the flow cytometer's inherent need to isolate and distinguish each cell individually as well as the pressure and other stresses which typical flow cytometry has, prior to the present invention, imposed upon the cells or other substances that it was sorting. This may also

represent a unique factor for sperm cells because it appears that even though the sperm cell may appear to pass through the flow cytometer and be sorted with no visually discernable side-effects, in fact, the cells themselves may have been stressed to the point that they perform less than optimally in the insemination process. Thus, an interplay of factors seems involved and has raised unusual problems from the perspective of sperm cell sorting and ultimate use for artificial insemination.

Another problem which has remained -- in spite of the great advances achieved through the Johnson patent and related technology -- is the fact that prior to the present invention it has been extremely difficult to achieve lower dosage insemination with sexed sperm, regardless of the separation technology used. While historically, some achievement of low dose insemination has occurred, it has appeared to be more in a theoretical or laboratory environment rather than in environments which are likely to be experienced in or applicable to a commercial application. In this regard, the desire has not been merely to achieve low dose insemination but rather to achieve low dose insemination with pregnancy success rates which are comparable to existing unsexed, high dosage artificial insemination efforts. Thus, the advances achieved by the present inventors in both sexed and low dose artificial insemination represent significant advances which may, for the first time, make commercial applications feasible.

Another problem which has been faced by those in the industry -- again, in spite of the great advances by the Johnson patent and related technology -- is the fact that the problem itself, namely, artificial insemination with a high success rate is one of a statistical nature in which a multitude of factors seem to interplay. Thus, the solutions proposed may to some degree involve a combination of factors which, when thoroughly statistically studied, will be shown to be necessary either in isolation or in combination with other factors. Such a determination is further compounded by the fact that the results themselves vary by species and may be difficult to ascertain due to the fact that testing and statistical sampling on a large enough data base is not likely to be worth the effort at the initial stages. For these reasons the invention can also involve a combination of factors which may, individually or in combination, represent the appropriate solutions for a given application. This disclosure is

thus to be considered broad enough so that the various combinations and permeations of the techniques disclosed may be achieved. Undiscovered synergies may exist with other factors. Such factors may range from factors within the sorting, or perhaps, flow cytometer, steps to those in the collection as well as insemination steps. At present, studies have been primarily achieved on bovine species, however, it is not believed that these techniques will be limited to such species or, for that matter to only sperm cells. It appears that the techniques used may have application beyond just sperm cells into areas which involve either sensitive items to be sorted or merely minimization of the impacts of the stresses of flow cytometry upon the item sorted.

Interestingly, while the present invention takes an approach to minimize the impacts of sorting or stresses upon the sperm cells, others appear to have actually taken steps away from this direction by increasing pressures and demands for speed and other such performance. Essentially, the drive for low dose insemination and high speed processing may, in an individual or perhaps interrelated fashion have posed problems which limited one another. Thus, while there has been a long felt but unsatisfied need for high speed, low dose sexed insemination, and while the implementing arts and elements have long been available, prior to the present invention the advances or perhaps combinations of advances had apparently been overlooked by those skilled in the art. Perhaps to some degree they failed to appreciate that the problem involved an interplay of factors as well as peculiar necessities for the types of cells (sperm cells or perhaps species-specific sperm cells) involved in this field. Interestingly, as the listing of efforts earlier in this discussion shows, substantial attempts had been made but they apparently failed to understand the problem inherent in such an area as low dose, sexed insemination and had perhaps assumed that because the natural service event involves perhaps billions of sperm, there may have been physical limitations to the achievement of artificial insemination with numbers which are as many as four orders of magnitude less in number. Thus, it may not be surprising that there was to some extent an actual teaching away from the technical direction in which the present inventors went. Perhaps the results may even be considered unexpected to a degree because they have shown that sexed, low dose artificial insemination can be achieved with success rates comparable to those of unsexed, high dose artificial insemination. It might even be surprising to some that

the techniques and advances of the present invention in fact combine to achieve the great results shown. While each technique could, in isolation, be viewed by some as unremarkable, in fact, the subtle changes appear to afford significant advances in the end result — whether considered alone or in combination with other subtle changes.

5 Thus, until the present invention the achievement of success rates for low dose, sexed artificial insemination has not been possible with levels of performance necessary or simplified procedures likely to be necessary to achieve commercial implementation. Beyond low dose, sexed insemination on a commercial level, however achieved, the present invention also discloses techniques which permit the achievement of improved performances and thus facilitates the end result desired, namely, low dose, sexed artificial insemination on a commercial basis.

III. DISCLOSURE OF INVENTION

Accordingly, the present invention claims the achievement, on a commercial level, of low dose insemination and of the results as applied to predetermining the sex of the mammal. It also provides improved sheath and collector systems for sorting of sperm cells to determine their sex through a flow cytometer separation technique. In this separate technique, the sheath fluid as typically used in a flow cytometer is replaced with a fluid which minimizes the stress on the sperm cells as they are sorted. Furthermore, the collection system is improved to minimize both the physical and chemical stress to which the sperm cells are subjected.

20 Various techniques and substances are represented but as those skilled in the art will readily understand, various combinations and permutations can be used in the manner which may be optimized for performance based on the species, separation techniques, goals and other parameters involved in a specific processing application.

25 An object of the invention is thus to merely achieve sexed insemination with lower dosages in a manner which works under realistic commercial circumstances. An object is also to achieve better sorting for substances such as sperm cells. A related goal is to minimize the impact the sorting function itself has on the cells or other sensitive items which

may be sorted. For a flow cytometry sorting technique, a particular goal is to minimize the impact the sheath fluid imposes upon the cells and to potentially provide a sheath fluid which affirmatively acts to assist the cells in handling the various stresses involved. A parallel goal is to provide substances and techniques which are especially suited for sperm cells in general, for bovine sperm cells, for equine sperm cells, and for the separation of such sperm cells into X- and Y-chromosome bearing components. Similarly a goal is to minimize the impacts that the collection phase (e.g., after sorting) has upon the cells and to minimize the physical impact as well as chemical impacts on such sex sorted sperm cells. Thus a goal is to achieve as unaffected a sorted result as possible.

Another object of the invention is to achieve low dose, sorted insemination on levels and with success rates which are comparable to those of the typical unsexed, high dose artificial insemination. In keeping with this object, a goal is to present an overall system for artificial insemination which can achieve this object in a commercially practical manner. Thus the prior goals of minimizing the stress or potential damage upon the sperm cells is important. Sorting in a manner which affords both high speed and low stress sorting, and which is especially adapted for sperm cell sorting in a low dose context is an important goal as well. The goals of providing sheath and other fluids which do not negatively affect the fertility of the sperm and which are compatible with artificial insemination are also important.

Naturally further objects of the invention are disclosed throughout other areas of the specification and claims.

IV. BRIEF DESCRIPTION OF DRAWINGS

Figure 1 is a schematic diagram of a sorter system according to a flow cytometer separation technique for the present invention.

Figure number 2 is a diagram of the entrained cells in the free fall area of a typical flow cytometer.

Figure 3 is a conceptual diagram showing differences as they roughly appear as a result of the present invention.

Figure number 4 is a diagram of the sorted cell stream as they are collected in the landing zone area.

5 V. BEST MODE FOR CARRYING OUT THE INVENTION

As will be seen, the basic concepts of the present invention can be combined and embodied in a variety of ways. The invention involves merely commercially practical low dose, sexed insemination and the results. For flow cytometry separation techniques, the invention also involves both improved flow cytometer systems as well as systems for the creation of sex-specific sperm samples which may be used in artificial insemination and the animals produced by such techniques. The invention includes overall processes through which high success rates are possible even in commercial environments. Furthermore, the techniques are disclosed in a general fashion so that they may be applied to specific systems and applications once the general principals are understood. While device enhancements are disclosed it should be understood that these enhancements not only accomplish certain methods but also can be varied and combined in a number of ways. Importantly, as to all of the foregoing, each of these facets should be understood to be encompassed by this disclosure.

As mentioned, the basic goal is that of separating the X-bearing sperm from the Y-bearing sperm. This is done in a manner which isolates the two types of sperm so that each can be separately packaged and dealt with. At present the isolation is preferably done through the use of flow cytometry. Flow cytometry in general is a technique which is well understood. For instance, the basic aspects of it are shown and discussed in a variety of patents to Cytomation, Inc. such as the U.S. Patents and other publications listed earlier. Each of these patents and the references cited therein, are incorporated by reference; thus those skilled in the art can easily understand the basic principles involved.

Essentially, flow cytometry involves sorting items, such as cells, which are provided to the flow cytometer instrument through some type of cell source. A conceptual instrument is shown in Figure 1. The flow cytometer instrument includes a cell source (1) which acts to establish or supply cells or some other type of item to be analyzed by the flow cytometer. The cells are deposited within a nozzle (2) in a manner such that the cells are surrounded by a sheath fluid (3). The sheath fluid (3) is usually supplied by some sheath fluid source (4) so that as the cell source (1) supplies its cells, the sheath fluid (3) is concurrently fed through the nozzle (2). In this manner it can be easily understood how the sheath fluid (3) forms a sheath fluid environment for the cells. Since the various fluids are provided to the flow cytometer at some pressure, they flow out of nozzle (2) and exit at the nozzle orifice (5). By providing some type of oscillator (6) which may be very precisely controlled through an oscillator control (19), pressure waves may be established within the nozzle (2) and transmitted to the fluids exiting the nozzle (2) at nozzle orifice (5). Since the oscillator (6) thus acts upon the sheath fluid (3), the stream (7) exiting the nozzle orifice (5) eventually and regularly forms drops (8). Because the cells are surrounded by a sheath fluid environment, the drops (8) may contain within them individually isolated cells or other items.

Since the drops (8) generally contain isolated cells, the flow cytometer can distinguish and separate droplets based upon whether or not the appropriate cell or cells is/are contained within the drop. This is accomplished through a cell sensing system (9). The cell sensing system involves at least some type of sensor (10) which responds to the cells contained within each drop (8) as discussed at length in the seminal work (no pun intended) by Larry Johnson, namely, U.S. Patent No. 5135759. As the Johnson patent explains for sperm cells, the cell sensing system (9) may cause an action depending upon the relative presence or relative absence of a particular dye which may be excited by some stimulant such as the laser exciter (11). While each type of sperm cell is stained by the dye, the differing length of the X-chromosome and the Y-chromosome causes different levels of staining. Thus, by sensing the degree of dye present in the sperm cells it is possible to discriminate between X-bearing sperm and Y-bearing sperm by their differing emission levels.

In order to achieve the ultimate separation and isolation of the appropriate cells in a flow cytometer separation technique, the signals received by sensor (10) are fed to some type of sorter discrimination system (12) which very rapidly makes the decision and can differentially charge each drop (8) based upon whether it has decided that the desired cell does or does not exist within that drop (8). In this manner the sorter discrimination system (12) acts to permit the electrostatic deflection plates (13) to deflect drops (8) based on whether or not they contain the appropriate cell or other item. As a result, the flow cytometer acts to sort the cells by causing them to land in one or more collectors (14). Thus by sensing some property of the cells or other items the flow cytometer can discriminate between cells based on a particular characteristic and place them in the appropriate collector (14). In the system presently used to sort sperm, the X-bearing sperm droplets are charged positively and thus deflect in one direction, the Y-bearing sperm droplets are charged negatively and thus deflect the other way, and the wasted stream (that is unsortable cells) is uncharged and thus is collected in an undeflected stream into a suction tube or the like.

Referring to Figure 2, the process can be even further understood. As shown in that figure, the nozzle (2) emits a stream (7) which because of the oscillator (6) (not shown in Figure 2) forms drops (8). Since the cell source (1) (not shown in Figure 2) may supply sperm cells (15) which have been stained according to the Johnson technique, the light stimulation by laser exciter (11) is differentially determined by sensor (10) so that the existence or nonexistence of a charge on each drop (8) as it separates from stream (7) can be controlled by the flow cytometer. This control results in positively charged, negatively charged, and uncharged drops (8) based upon their content. As shown in Figure 2, certain drops are shown as deflected drops (16). These deflected drops (16) are those containing sperm cells (15) of the one or the other sex. They are then deposited in the appropriate collector (14) for later use.

One of the aspects of flow cytometry which is particularly important to its application for sperm sorting is the high speed operation of a flow cytometer. Advances have been particularly made by the flow cytometers available through Cytomation, Inc. under the MoFlo® trademark. These flow cytometers have increased sorting speeds extraordinarily and

have thus made flow cytometry a technique which is likely to make feasible the commercial application of sperm sorting (among other commercial applications). They act to achieve high speed sorting, that is at a speed which is notably higher than those otherwise utilized. Specifically, Cytomation's MoFlo® flow cytometers act with oscillator frequencies of greater than about five kilohertz and more specifically can be operated in the 10 to 30 or even the 50 kilohertz ranges. Thus droplets are formed at very high frequencies and the cells contained within the sheath fluid environment can be emitted very rapidly from the nozzle (2). As a result, each of the components such as the nozzle (2) oscillator (6), and the like which make up and are part of a flow cytometer system can be configured or selected to result in a high speed cell sorter. In the application of a high speed cell sorter to the sorting of sperm cells, sorting at rates of greater than about 500 sorts per second is achieved. In fact, rates of sorting in the thousand and twelve hundred ranges have already been achieved through a high speed cell sorter. Importantly, it should be understood that the term "high speed" is a relative term such that as other advances in flow cytometry and specific applications are achieved, the aspect which is considered "high" may be varied or may remain absolute. In either definition, the general principle is that the sorting may occur at rates at which the parameters and physical characteristics of the flow cytometer are significant to the cells themselves when sorting particular cells such as sperm cells.

One aspect of high speed sorting which appears to come into play when sorting sperm cells through a flow cytometer separation technique is that of the pressures and other stresses to which the sperm cells are subjected within the flow cytometer. For instance, when operating at high speeds (and an alternative definition of "high speed"), flow cytometers can be operated at a pressure of 50 pounds per square inch and even 60 and higher pounds per square inch. These pressures may be considered high because they may result in effects upon the cells being sorted. The key as disclosed in the present invention for this facet is the fact that the stress thresholds of the particular cells are the determining factor. Additionally as further knowledge is gained it may be shown that the stress thresholds are a function of combined effects such as the particular species or the particular prior or subsequent handling of the cells. The key in this regard is that the stress imposed upon the cells can, in fact, alter their viability and their ability to achieve the desired result. In the pressure case, it may be

that merely subjecting the sperm cells to a higher pressure as a result of the operation of the flow cytometer at that pressure may result in decreased performance of the cells. The present invention in one regard acts to minimize these stresses and thus results in greater efficacies as well as lower dosages as discussed later.

5 In considering the stress aspect of the cells, the present invention acts in a fashion which minimizes the stresses. These stresses can be minimized at any point in the over all cycle or process of collecting, sorting or even inseminating the animal. Importantly, the stress imposed by the handling of the cells within the flow cytometer appears significant for this application. In one embodiment of the invention, the sheath fluid is specifically selected so
10 that it can serve in a coordinated fashion with both (or either) the pre-sort cell fluid environment or the post-sort cell fluid environment. While naturally it is possible to adjust either the pre- or post-sort fluids, in one embodiment the invention adjusts the sheath fluid (3) so that it imposes significantly less stress upon the cells than was previously accomplished. In one regard the invention is remarkable in that it removes the total focus from that of operation of the flow cytometer to a focus on handling and removing stress from the cells themselves. For instance, while it has been known to utilize fluids having a proper pH factor or osmoality, the present invention recognizes that there may be certain chemical compositions to which the cells may be hyper-responsive. These hyper-responsive chemical compositions may naturally vary based upon the cells or even the prior handling of the cells.
15 Importantly at present it appears that for sperm cells certain metabolic chemical compositions such as citrate seem to prevent unusually high stresses upon the cells. Thus, the hyper-responsive chemical compositions can be defined as those to which the cells are particularly responsive in the context of their functionality and the then-existing handling techniques. As to sperm cells it appears that metabolic compositions, specifically citrate constancy for bovine sperm cells and hepes buffer constancy for equine sperm cells may be very important. Thus
20 the present invention acts to minimize the changes through the type of operation or the selection of substances which may act as a means for minimizing the changes which the cells experience.
25

For the sheath fluid, a substance is selected according to one embodiment of the invention so that it may be chemically coordinated to present minimal changes. Thus, by selecting the appropriate sheath fluid not only in context of flow cytometry parameters, but rather also in context of the cell parameters themselves, the changes experienced by the cells and the over all result of the sorting can be enhanced. This is shown conceptually in Figure 3. Figure 3 shows some type of chemical factor (such as citrate or other factors) as it may exist throughout the various phases of the process. For instance, the four phases shown might represent the following shown for a flow cytometry separation technique, but not to be so limiting: phase I may represent the existence of the cells within the cell source (1), phase II might show the existence of the cells as they are sorted in the sheath fluid environment, phase III might show the cells as they are collected after sorting and phase IV might show the reconstituted cells in a storage medium after sorting. These four phases as shown for the prior art may experience vastly different chemical factor environments. As shown conceptually, however, in the present invention the cells may experience very little change, most notably the dip or drop experienced between phases I and II may be virtually absent. This is as a result of the selection of the appropriate sheath fluid as mentioned above. Thus, as a result of being subjected to an appropriate sheath fluid, the cells in the present invention may experience a much lower level of stress.

One of the potential generalities that may exist with respect to this phenomenon is the fact that certain chemical compositions may represent more hyper-responsive chemical compositions than others. While naturally this may vary based upon the species of sperm, the handling, or even the type of cell involved, it appears that the viability of the cells for their intended purpose (here, artificial insemination) varies greatly, naturally or because of sorting or both, and so the cells exhibit a hyper-responsive character with respect to that chemical composition. By selecting certain metabolic chemical compositions, most notably citrates or chemicals which are within the citric acid cycle, great advances appear possible. Thus for the bovine sperm application, the sheath fluid (3) is selected and coordinated so that it presents about a 2.9 percent sodium citrate composition. Specifically, the 2.9 percent sodium citrate solution may be created as follows:

1. Place 29.0 grams of sodium citrate dihydrate ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \cdot 2\text{H}_2\text{O}$) in a 1,000 ml volumetric flask
 - a. Dissolve sodium citrate in $\frac{3}{4}$ of water batch, then add water to volume.
 2. Add deionized or Nanopure water to make 1,000 ml final volume.
 3. Transfer to bottles and autoclave at 15 lbs pressure (245°F) for at least 30 minutes
 - a. Autoclave solution using conditions to minimize evaporation (loose cover)
 - b. Be careful that water does not boil away.
 4. Cool slowly at room temperature.
 5. Store sealed in a 5°C cold room.
- Further, for a sheath fluid, the sodium citrate solution may be filtered.
6. Filter with a .22 micron filter using aseptic techniques.

Interestingly, for equine sperm cells such a composition does not perform as well. Rather, it has been discovered that for equine sperm cells, a hepes buffered medium such as a hepes bovine gamete medium — particularly HBGM3 as previously created by J. J. Parrish for a bovine application — works well. This medium is discussed in the article “Capacitation of Bovine Sperm by Heparin”, 38 Biology of Reproduction 1171 (1988) hereby incorporated by reference. Not only is this surprising because it is not the same type of substance as is utilized for bovine sperm, but the actual buffer, originally was developed for a bovine application. Thus in the equine application the sheath fluid is selected which contains the hepes buffer. This solution may have a pH at room temperature of about 7.54 (pH at 39°C = 7.4) with the following composition:

<u>Chemical</u>	<u>Dry weight (g/500ml)</u>
CaCl_2	0.145
KCl_2	0.115
$\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$	0.004
$\text{NaH}_2\text{PO}_4 \cdot \text{H}_2\text{O}$	0.018
NaCl	2.525
NaPyruvate	0.011
Lactic Acid (60%)	1.84 ml
HEPES	4.765
NaHCO_3	0.420

BSA (fraction V)

3.0

One other aspect which may interplay in the present invention is the fact that the cells involved may experience unusual sensitivities. In one regard this may be due to the fact that sperm cells are in a class of cells which are non-repairing cells. That is, they do not have the ability to repair themselves and hence, they may need to be treated much more sensitively than is typical for flow cytometers or other handling equipment. Thus, it may be appropriate that the enhancement is particularly applicable when the flow cytometer, or other separation device, acts to establish a source of sperm cells. Another potentially related aspect which may be unique to a class of cells such as sperm cells is the fact that their DNA is non-repairing, non-replicating, and non-transcribing. Either of these factors may come into play and so they may be relevant either individually or together. Thus, it may be that the teachings of the present invention apply to all gamete cells or even to viruses and the like which are non-repairing, non-translating, non-transcribing cells.

A separate aspect of the flow cytometer processing which may also be important is the fact of properly treating the cells both chemically and physically after they are sorted. As shown in figure 4, as the cells within drops (8) land in collector (14), it may be important that the container which makes up the collector be properly sized so that it acts as some means of avoiding an impact between the cells and the container itself. While it has been known to place an initial collector fluid (17) in the bottom of the container to collect the cells so that they do not hit the bottom of the container, it appears that a simple widening of the container to address variations in stream presentation as well as the inevitable splashing due to the impact of the cells into the container can be used to enhance the result. In one regard this can act as a cushioning element so that cells which may be mechanically delicate, that is, they may break or be damaged by an impact can be treated appropriately. Thus when the cytometer source establishes cells which are physically delicate cells as the cells to be sorted, it may be important to provide some type of cushioning element such as a wide collection tube for which the opening width (18) serves to position the walls of the container in a manner which avoids contact with the cells. Thus the tube does not present side walls so

close that there is any significant probability of contact between those cells being sorted and the walls of the tube. In this manner, in addition to the collector fluid (17), it may be desirable to include a wide collection tube as well. Perhaps merely providing a wide opening to the container which serves as part of the collector (14) may be sufficient. For applications utilizing high speed sorting of sperm cells, it has been found that providing a container having an inner diameter opening of at least 15 millimeters is believed to be sufficient. Specifically when utilizing a 14 ml Falcon test tube in such an application, minimal physical damage to the cells as a result of the collector (14) has been discovered.

It should be noted that even the 14 ml Falcon test tube may not be optimum. Specifically, it is believed that designing a collection container which matches the geometry of the stream (that is, a "stream-matched container") may be most optimal. This stream-matched container may have any or all of the following characteristics: a relatively wide orifice, an elliptically shaped orifice, a lesser height to width ratio than currently involved, an angled or otherwise coordinated presentation such as may present side walls which are parallel to the falling streams, and the like. It may also be desirable to provide a mounting element such as a movable element or medium like ball bearings or the like to permit variable orientation of the tube to match the falling stream desired to be collected. In addition, the physical characteristics for the class of containers such as the existing tube (described as a "Falcon-type" test tube) may include not only the width of the tube but also the material (such as polystyrene to which the cells do not stick) out of which it is made and the like. (These material options are well known for the 14 ml Falcon tube.) Thus the container and its collection fluid may also serve as a cushioning element to minimize physical damage to the cells. It also can serve, by its size, to facilitate collection of adequate numbers of sperm without a significant dilution effect.

Another aspect of the collector fluid (17) can be the fact that it, too, may serve to minimize chemical stresses upon the cells. In one regard, since it may be important to provide a nutrient to the cells both before and after sorting, the collector fluid (17) may be selected so as to provide a coordinated level of nutrient so that the levels are balanced both before and after sorting. For bovine sperm in which a nutrient of egg yolk citrate is utilized at

a two percent egg yolk level, it has been discovered that utilizing a six percent egg yolk citrate level (that is six percent egg yolk content in a citrate solution) provides good results. This is as a result of the volumes existing before and after the sorting event. The collector fluid (17) may start (before sorting) with about 2 ml of volume. The sorting event may add about double this volume (ending at three times the initial starting volume) with very little egg yolk citrate in solution (due to clogging and other flow cytometer considerations). Thus, the end result in terms of the level of the amount of egg yolk citrate present may be equivalent to the starting result, namely, two percent egg yolk content in a citrate solution due to the volumes involved. Thus the collector fluid (17) may be selected so as to create an ending collector fluid environment which is balanced with the initial nutrient or other fluid environment. In this manner, it may serve to minimize the time and changed level of composition to which the cells are subjected. Naturally, these fluid environments may be presented within the flow cytometer or may exist at some other prior time, the important point being merely minimizing the stress to which the cells are subjected at any time in their life cycle. Furthermore, since the initial chemical substance content can be varied (for instance the percent egg yolk content in the citrate may be varied up or down), likewise the starting collection fluid environment or various volumes may also be varied so that the ending result is the same. Thus, prior to commencing the sorting process, the collector fluid exists with a six percent egg yolk content in the citrate solution and after completion of the sorting event the collector fluid—with the sex-specific sperm—may result in a two percent egg yolk content in the citrate solution similar to the initial nutrient content.

Note that in later use these sperm cells may be treated to a 20% egg yolk content in the citrate fluid for other reasons, however these changes are not deemed to provide stress to the cells as they are merely a known part of the total insemination process. While naturally the levels may be varied as those skilled in the art readily understand, a 20% egg yolk citrate buffer may be constituted as follows:

- I. FINAL COMPOSITION:
80% sodium citrate solution (72mM)
20% (vol/vol) egg-yolk
- II. PREPARATION FOR 1 LITER:

A. Sodium citrate solution

1. Place 29.0 grams of sodium citrate dihydrate ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \bullet 2\text{H}_2\text{O}$) in a 1,000 ml volumetric flask
2. Add deionized or Nanopure water to make 1,000 ml final volume.
3. Transfer to bottles and autoclave at 15 lbs pressure (245°F) for at least 30 minutes.
 - a. Autoclave solution using conditions to minimize evaporation (loose cover)
 - b. Be careful that water does not boil away.
4. Cool slowly at room temperature.
5. Store sealed in a 5°C cold room.

B. Egg preparation

1. Obtain fresh hen's eggs from a good commercial source.
2. Wash the eggs free of dirt (do not use too much detergent) and rinse.
3. Immerse eggs in 70% ethanol for 2-5 minutes.
4. Remove eggs and allow to dry (or wipe dry) and store on a clean towel.

C. Preparation of extender

1. Use sterile, clean glassware
2. A-fraction (non-glycerol fraction)
 - a. Place 800 ml of 2.9% sodium citrate solution in a 1,000 ml graduated cylinder.
 - b. Antibiotic levels for the non-glycerol containing fraction (A-fraction) of the extender may be as follows:
 - i. Tylosin = 100 $\mu\text{g/ml}$
 - ii. Gentamicin = 500 $\mu\text{g/ml}$
 - iii. Linco-spectin = 300/600 $\mu\text{g/ml}$
 - c. Add 200 ml of fresh egg-yolk as outlined below (Section D)
 - i. Mix very thoroughly.
 - d. This provides A-fraction extender based on 2.9% sodium citrate, with 20% egg-yolk and antibiotics at concentrations known to be non-toxic to bull sperm.
 - e. Extender can be stored overnight at 5°C.
 - f. Decant supernatant (upper 800 ml) the next day.
 - g. Warm to 37°C prior to use the next day.

D. To add egg-yolk to a buffered solution, the following procedure works well.

1. Wash egg and clean the eggs (see B above)
2. Open egg and separate yolk from albumin using a yolk separator. Alternatively, pour yolk back and forth 2-3 times between the two half shells. Do not rupture the membrane around the yolk.
3. Place the yolk onto a sterile piece of 15 cm filter paper.
4. Hold the filter paper over the graduated cylinder containing buffer and squeeze the yolk (rupturing the membrane) and allow the yolk to run out of the folded filter paper into the cylinder. Typically about 12-15 ml of the yolk can be obtained from one egg.

Another aspect which may interplay in the various factors of the present invention is that of utilizing low dose amounts of sperm for artificial insemination or the like. Additional background on the aspect of sexed, artificial insemination may be found in "Prospects for Sorting Mammalian Sperm" by Rupert P. Amman and George E. Seidel, Jr., Colorado Associated University Press (1982) hereby incorporated by reference. As mentioned, natural insemination involves numbers of sperm on the order of billions of sperm. Typical artificial insemination is presently conducted with millions of sperm for bovine species and hundreds of millions of sperm for equine species. By the term "low dose" it is meant that the dosage of sperm utilized in the insemination event are less than one-half or preferably even less than about 10% of the typical number of sperm provided in a typical artificial insemination event. Thus, the term "low dose" is to be viewed in the context of the typical artificial insemination dosage or also as an absolute number. For bovine sperm where currently 1 to 10 million sperm are provided, a low dose process may be considered an absolute number of about 500,000 sperm or perhaps as low as 300,000 sperm or lower. In fact, through utilization of the techniques of the present invention, artificial insemination with good percentages of success has been shown with levels of insemination of sperm at 100,000 and 250,000 sperm (41% and 50%, respectively pregnancy rates), as shown in the article "Uterine Horn Insemination of Heifers With Very Low Numbers of Non-frozen and Sexed Spermatozoa" as published in 48 Theriogenology 1255 (1997) hereby incorporated by reference. Since sperm cells appear to display a sensitivity to dilution, these results may display particular interdependence on the utilization of low dose sperm samples with regards to various techniques of the present invention. The absolute numbers may be species dependent. For equine species, merely less than about twenty-five, ten, five, or even one million sperm may be considered a low dose process.

Another aspect which may be important is the fact that the sperm sexed through the present invention techniques, or otherwise, is utilized in an artificial insemination system. Thus when, for a flow cytometer technique, the collector (14) is used to provide sperm for artificial insemination the techniques of the present invention may be particularly relevant. Further, it is possible that the combination of both artificial insemination use and the use in a low dose environment may together create synergies which makes the various techniques of the present

invention particularly appropriate. Naturally, the sexed sperm can be utilized not just in an artificial insemination mode, but in other techniques such as in vitro fertilization and the like.

5 The process of collecting, sorting, and eventually inseminating an animal through the use of flow cytometry, or other separation technique, involves a variety of steps. In the context of bovine insemination, first the semen is collected from the bull through the use of an artificial vagina. This occurs at rates of approximately 1.5 billion sperm per ml. This neat semen may be checked through the use of a spectrophotometer to assess concentration and may be microscopically evaluated to assure that it meets appropriate motility and viability standards. Antibiotics may then be added. As a result the initial sample may have approximately 60 to 70 percent of the progressively motile sperm per ejaculate. For processing, a dilution through of some type TALP (tyrode albumin lactate pyruvate) may be used to get the numbers of sperm at a manageable level (for flow analysis) of approximately 100 million per ml. The TALP not only nurtures the sperm cells, but it may make them hyper-activated for the staining step. Prior to staining, in some species such as the equine species, centrifugation may be accomplished. Staining may be accomplished according to a multi-stained or single-stained protocol, the latter, the subject of the Johnson Patent and related technology. The staining may be accomplished while also adjusting the extender to create the appropriate nutrient environment. In bovine applications this may involve adding approximately 20% egg yolk content in a citrate solution immediately after staining. Further, in staining the sperm cells, it has been discovered that by using higher amounts of stain than might to some extent be expected better results may be achieved. This high concentration staining may involve using amounts of stain in the tens of micro-molar content such as discussed in the examples below where 38 micro-molar content of Hoechst 33342 stain was used.

25 After adding the stain, an incubation period may be used such as incubating at one hour at 34°C to hasten the dye uptake with concentrations at about 100 million sperm cells per ml. Filtration may then be accomplished to remove clumps of sperm cells and then dilution or extending to the desired sort concentration of approximately 100 million sperm cells per ml may be accomplished. Sorting according to the various techniques discussed earlier may then be accomplished from which sperm cells may be recovered in the collection phase. As mentioned

earlier, the collection may result in samples with approximately 2% egg yolk citrate concentrate content (for bovine species). This sample may then be concentrated to about 3-5 million sperm cells per ml through the use of centrifugation after which the sheath fluid and preserving fluid may be removed. A final extension may then be accomplished with either 20% egg yolk citrate or a Cornell Universal Extender or the like. The Cornell Universal Extender may have the following composition for 1000 ml:

14.5 g sodium citrate dihydrate
2.1 g NaHCO₃
0.4 g KCl
3.0 g glucose
9.37 g glycine
0.87 g citric acid

For 20% egg-yolk composition, 800 ml of above preparation and about 200 ml of egg-yolk may be used.

After this last extending, 3 to 5 million sperm per ml (for bovine species) may result. This sample may then be cooled to slow the sperm's metabolism and to permit use over longer periods of time. In the equine species the sample may then be used in oviductal or other insemination processes as those skilled in the art well understand. In bovine sperm, the sample may be diluted yet one more time to the desired dosage level. It has been discovered that dilution may create an effect upon the sperm cell's viability and so it may be appropriate to avoid too large a level of dilution by providing a smaller sample. Regardless, of the separation technique used, at present, low dosages of approximately 300,000 sperm per 0.184 ml may be achieved. Furthermore, it may be desirable to maintain a level of seminal plasma at approximately a five percent level, although the results of this requirement are, at present, mixed. The sperm cell specimen may then be placed in a straw for use in artificial insemination and may be transported to the cows or heifers to be inseminated.

In order to achieve conveniently timed artificial insemination, heifer or cow estrus may be synchronized using known techniques such as the utilization of prostaglandin F_{2α} according to techniques well known in the art. This latter substance may be particularly valuable in that it has been reported to potentially achieve enhanced fertility in heifers as discussed in the article

“Prostaglandin F2_α - A Fertility Drug in Dairy Cattle?”, 18 Theriogenology 245 (1982) hereby incorporated by reference. While recent results have not maintained this premise, it may be that the present invention demonstrates its particular viability in situations of sexed, low dose insemination. For bovine species, artificial insemination may then be accomplished through the use of embryo transfer equipment with placement of the sperm cells deep within the uterine horns. This may be accomplished not at the peak moment as typically used in artificial insemination, but rather at a somewhat later moment such as 12 hours after that time since there is some possibility that fertility for sexed artificial insemination may occur slightly later. The utilization of embryo transfer equipment may be used because there may be high sensitivity of the uterine wall for such low dose, sexed inseminations.

Further the techniques can be combined to achieve higher efficiency production as well. Particularly, the processes now invented which permit high speed sorting and low dose insemination of sexed embryos are each also possible in a superovulated animal. The superovulation may be achieved by use of a superovulatory pharmaceutical or by any other technique. The superovulatory pharmaceutical may act directly or indirectly, such as through a sequence of reactions to achieve a greater than normal production of eggs. The combination with superovulation is surprising because superovulation was previously deemed to hinder such a combination. Sperm transport is compromised in superovulated cattle, so, animals were frequently artificially inseminated on multiple occasions and/or with multiple doses of semen. Also, prior procedures for sexing semen were relatively slow; therefore, it was of interest to determine fertilization rates after a single insemination of superovulatory pharmaceutical, such as FSH (follicle stimulating hormone)-treated cattle with only 600,000 total sexed unfrozen sperm using these newer combination of techniques.

By example, twelve Angus crossbred heifers were superovulated using standard procedures: 6, 6, 4, 4, 2, 2, 2, and 2 mg FSH were injected intramuscularly at half-day intervals beginning between days 9 and 12 of the estrus cycle; 25 and 12.5 mg prostaglandin F-2 alpha were injected intramuscularly with the 6th and 7th FSH injections. Sperm from bulls of unknown fertility were stained with Hoechst 33342 and then sorted using a MoFlo® flow cytometer/cell sorter yielding 700-800 live sperm of each sex/sec. Average sort purity was 89%

of the desired sex. Sorted sperm were concentrated to 3.36×10^6 sperm/ml by centrifugation at 650 g for 10 min, cooled to 5° C, and stored 4h. Then 184 ul were loaded in 0.25 ml plastic straws; half the dose was inseminated into each uterine horn 20 to 24 h post-onset of estrus using automatic side-opening embryo transfer sheaths. Embryos were collected by standard non-surgical procedures at 7 or 16 days post-estrus. Results were similar between day 7 and 16 collections and between X- and Y-sorted sperm. Embryos were recovered from 9 heifers. There were 52 embryos (mean, 4.3 ± 5.3 /donor) at normal stages of development, 13 retarded embryos and 31 unfertilized ova. Forty-six embryos were sexed by PCR using primers for a Y-chromosome-specific DNA sequence; 43 (93%) were of the intended sex. Although this study involved few animals, surprisingly, insemination of superovulated heifers with only 600,000 total (live) sexed unfrozen sperm gave similar results to conventional procedures. Variations on the above may also be accomplished, including, but not limited to, sorting through other than flow cytometric means, achieving superovulation in other manners, increasing fertility in other manners, and the like.

Further, the congruence of methods of sexing sperm based on DNA content, high speed flow cytometer/cell sorters, and procedures for inseminating heifers with fewer than 500,000 total sperm without compromising fertility has resulted in the possibility of a viable sexed semen industry in cattle within a few years. There will be a myriad of applications for sperm sexed at >85% accuracy. Perhaps the most obvious is inseminating one subset of cattle (both dairy and beef) for female herd replacements, and having the converse subset (both dairy and beef) bred to entirely different types of bulls to produced males for meat. A very important subset of the above is inseminating heifers with X-chromosome-bearing sperm to produce female calves, which have a lower incidence of dystocia than male calves, primarily due to smaller size. Furthermore, proving young dairy sires would be much more efficient with a preponderance of heifer calves. Having more than 85% heifer calves also makes it feasible to manage dairy cows so they average fewer than two surviving calves per lifetime, which is attractive because of reducing problems associated with gestation and parturition. Single sex systems of beef production also would become feasible, in which each female replaces herself and is slaughtered between 2 and 3 years of age, thus using a much higher percentage of nutrients in the system for growth, and a lower percentage for maintenance. Sexed semen would be useful for in vitro

fertilization and to inseminate cows superovulated for embryo transfer. Frequently one sex of calves is considerably more valuable than the other, and although accurate methods of sexing embryos are available, they are time-consuming, and half of the embryos produced are of the less valuable sex. it is surmised that accurately sexed semen would be widely adopted for artificial
5 insemination of cattle if the sexing surcharge were low and fertility was only minimally compromised. The percentage of beef cattle inseminated artificially likely would increase substantially with sexed semen.

Interestingly, rather than inseminating within the uterine body where such insemination are usually placed, by insemination deep within the uterine horn, better results may be achieved. Perhaps it is also surprising that the samples thus far studied have shown no difference between
10 ipsi- and contra-lateral inseminations when accomplished deep within the uterine horn. By deep, it should be understood that the insertion is placed well into the uterine horn using the embryo transfer equipment. The fact that results do not appear significantly different using ipsi- and contra-lateral inseminations has led the present inventors to propose the use of insemination in
15 both so that the process of identifying the appropriate uterine horn may no longer be needed.

As a result of the insemination, it is of course desired that an animal of the desired sex be produced. This animal may be produced according to the systems discussed earlier through the use of the sexed sperm specimen. It should also be understood that the techniques of the present invention may find application in other techniques such as laproscopic insemination,
20 oviductal insemination, or the like.

As examples, the following experiments have been conducted. While not all use every aspect of the inventions described here, they do show the performance enhancements possible through differing aspects of the invention. Further, a summary of some experiments is contained in the article "Uterine Horn Insemination of Heifers With Very Low Numbers of Non-frozen and
25 Sexed Spermatozoa" as referenced earlier. This article summarizes some of the data showing the efficacy of the present invention. As to the experiments, one has been conducted with sexed, unfrozen sperm cells with high success as follows:

EXAMPLE 1

Angus heifers, 13-14 mo of age and in moderate body condition, were synchronized with 25 mg of prostaglandin F-2 alpha at 12-day intervals and inseminated 6-26 h after observed standing estrus. Freshly collected semen from three 14-26 mo old bulls was incubated in 38 μ M Hoechst 33342 at 75×10^6 sperm/ml in a TALP medium for 1 h at 34°C. Sperm were sorted by sex chromosomes on the basis of epifluorescence from laser excitation at 351 and 364 nm at 150 mW using a MoFlo® flow cytometer/cell sorter operating at 50 psi and using 2.9% Na citrate as sheath fluid. X chromosome-bearing sperm (~90% purity as verified by resorting sonicated sperm aliquots) were collected at ~500 live sperm/sec into 2-ml Eppendorf tubes containing 100 μ l Cornell Universal Extender (CUE) with 20% egg yolk. Collected sperm were centrifuged at 600 x g for 10 min and resuspended to 1.63×10^6 live sperm/ml in CUE. For a liquid semen unsexed control; Hoechst 33342-stained sperm were diluted with sheath fluid to 9×10^5 sperm/ml and centrifuged and resuspended to 1.63×10^6 progressively motile sperm/ml in CUE. Sexed semen and liquid control semen were cooled to 5°C over 75 min and loaded into 0.25-ml straws (184 μ l/straw). Straws were transported at 3 to 5°C in a temperature-controlled beverage cooler 240 km for insemination 5 to 9 h after sorting. Sexed semen and liquid control semen were inseminated using side-opening blue sheaths (IMV), one half of each straw into each uterine horn (3×10^5 live sperm/heifer). As a standard control, semen from the same bulls had been frozen in 0.5-cc straws by standard procedures (mean 15.6×10^6 motile sperm/dose post-thaw), thawed at 35°C for 30 sec, and inseminated into the uterine body. Treatments were balanced over the 3 bulls and 2 inseminators in a ratio of 3:2:2 inseminations for the sexed semen and two controls. Pregnancy was determined ultrasonically 31-34 days after insemination and confirmed 64-67 days later when fetuses also were sexed (blindly). Data are presented in the table.

Treatment	No. Heifers bred	No. Pregnant d31-34	No Pregnant d64-67	No female fetuses
Sexed semen	45	20 (44%)	19 (42%)	18 (95%) ^a
Liquid control	28	15 (54%)	15 (54%)	8 (53%) ^b
Frozen control	29	16 (55%)	15 (52%)	12 (80%) ^c

^{a,b} Sex ratios of values with different superscripts differ ($P < 0.02$).

Although the pregnancy rate with sexed semen was only 80% of controls, this difference was not statistically significant (>0.1). One pregnancy was lost by 64-67d in each of the sexed and frozen control groups; 18 of 19 fetuses (95%) were female in the sexed group, and 20 of 30 (67%) were female in the control groups. The liquid semen control yielded a virtually identical pregnancy rate to the frozen semen control containing over 50 times more motile sperm (over 120 times more total sperm), demonstrating the efficacy of low-dose insemination into the uterine

horns. We have altered the sex ratio in cattle significantly using flow cytometer technology and artificial insemination.

Similarly, an experiment was conducted with unsexed, unfrozen sperm cells and may be reported as follows:

EXAMPLE 2

The objective was to determine pregnancy rates when heifers are inseminated with extremely low numbers of frozen sperm under ideal field conditions. Semen from three Holstein bulls of above average fertility was extended in homogenized milk, 7% glycerol (CSS) extender plus 5% homologous seminal plasma to 2×10^5 , 5×10^5 or 10×10^6 (control) total sperm per 0.25 ml French straw and frozen in moving liquid nitrogen vapor. Semen was thawed in 37°C water for 20 sec. Holstein heifers 13-15 mo of age weighing 350-450 kg were injected with 25 mg prostaglandin F-2-alpha (Lutalyse®) twice at a 12-day interval and inseminated with an embryo transfer straw gun and side-opening sheath, half of the semen deep into each uterine horn 12 or 24 h after detection of estrus. The experiment was done in five replicates over 5 months, and balanced over two insemination technicians. Ambient temperature at breeding was frequently -10 to -20°C, so care was taken to keep insemination equipment warm. Pregnancy was determined by detection of a viable fetus using ultrasound 40-44 days post-estrus and confirmed 55-62 days post-estrus; 4 of 202 conceptuses were lost between these times. Day 55-62 pregnancy rates were 55/103 (53%), 71/101, (70%), and 72/102 (71%) for 2×10^5 , 5×10^5 and 10×10^6 total sperm/inseminate ($P < .1$). Pregnancy rates were different ($P < .05$) among bulls (59, 62, and 74%), but not between technicians (64 and 65%) or inseminations times post-estrus (65% for 12 h and 64% for 24 h, $N=153$ at each time). With the methods described, pregnancy rates in heifers were similar with 5×10^5 and 10×10^6 total sperm per inseminate.

An experiment has also been conducted on sexed, unfrozen sperm cells and may be reported as follows:

EXAMPLE 3

Semen was collected from bulls at Atlantic Breeders Cooperative, diluted 1:4 with a HEPES-buffered extender + 0.1% BSA, and transported 160 km (~2 HR) to Beltsville, Maryland where it was sorted at ambient temperature by flow cytometry into a TEST yield (20%) extender using methods described previously (Biol Reprod 41:199). Sorting rates of up to 2×10^6 sperm of each sex per 5-6 h at ~90% purity were achieved. Sperm were concentrated by centrifugation (300 g for 4 min) to 2×10^6 sperm/ml. Some sperm were sorted into extender containing homologous seminal plasma (final concentration, 5%). Sorted sperm were shipped by air to Colorado (~2,600 km) and stored at either ambient temperature or 5°C (cooled during shipping over 6 hr in an Equitainer, an

insulated device with an ice-containing compartment). Heifers or dry cows detected in estrus 11 to 36 h earlier were inseminated within 9 to 29 h of the end of the sperm sorting session. Sperm (1 to 2×10^5 in 0.1 ml) were deposited deep in the uterine horn ipsilateral to the ovary with the largest follicle as determined by ultrasound at the time of insemination.

None of 10 females became pregnant when inseminated with sperm shipped and stored at ambient temperature. Of 29 females inseminated with sperm cooled to 5°C during shipping, 14 were pregnant at 4 weeks of gestation, and 12 (41%) at 8 weeks. Eleven of the 22 inseminated within 10 h of the end of sorting were pregnant at 8 weeks, but only 1 of 7 inseminated 17-24 h after sorting was pregnant. There was no significant effect of adding seminal plasma. One of the 12 fetuses was not of the predicted sex, one was unclear, and 10 were of the predicted sex, as determined by ultrasonography at 60-70 days of gestation.

Subsequently, 33 additional heifers were inseminated with 0.05 ml (semen extended as described above) into each uterine horn without using ultrasonography; only 3 were pregnant 4 weeks after insemination, and only 1 remained pregnant at 8 weeks. However, different bulls were used from the previous group, and all inseminations were done 18-29 h post-sorting. An additional 38 heifers were inseminated similarly (~ 22 h post-sorting) 200 km from our laboratory with sorted sperm from another bull; none of these was pregnant 8 weeks after insemination.

To summarize, it is possible to achieve pregnancies in cattle via artificial insemination of sperm sorted for sex chromosomes by flow cytometry, and the sex ratio of fetuses approximates that predicted by reanalysis of sorted sperm for DNA content (90%). However, pregnancy rates varied greatly in these preliminary experiments which required shipping sperm long distances. Fertility decreased drastically by 17 h post-sorting, but there was some confounding because different bulls were used at the different times. Further studies are needed to determine whether variation observed in pregnancy rates was due to bull differences, insemination techniques, interval between sorting and insemination, or other factors.

Finally, an experiment also has been conducted with unsexed, unfrozen sperm cells and may be reported as follows:

EXAMPLE 4

The objective was to determine pregnancy rates when heifers were inseminated with very low numbers of sperm under ideal experimental conditions. Semen from three Holstein bulls was extended in Cornell Universal Extender plus 5% homologous seminal plasma to 1×10^5 or 2.5×10^5 sperm per 0.1 ml; 2.5×10^6 total sperm per $.25$ ml was used as a control. Fully extended semen was packaged in modified 0.25 ml plastic French straws to deliver the 0.1 or 0.25 ml inseminate doses. Semen was cooled to 5°C and used 26-57 h after collection. Holstein

heifers 13-15 mo of age weighing 350-450 kg were injected with 25 mg prostaglandin F-2 alpha (Lutalyse®) at 12-day intervals and inseminated with an embryo transfer straw gun and side-opening sheath into one uterine horn 24 h after detection of estrus. Insemination was ipsilateral to the side with the largest follicle determined by ultrasound 12 h after estrus; side of ovulation was verified by detection of a corpus luteum by ultrasound 7-9 days post-estrus. Pregnancy was determined by detection of a fetus by ultrasound 42-45 days post estrus. The experiment was done in four replicates and balanced over three insemination technicians. Side of ovulation was determined correctly in 205 of 225 heifers (91%); surprisingly, pregnancy rates were nearly identical for ipsilateral and contralateral inseminates. Pregnancy rates were 38/93 (41%), 45/87 (52%), and 25/45 (56%) for 1×10^5 , 2.5×10^5 and 2.5×10^6 sperm/inseminate ($P > .1$). There was a significant difference in pregnancy rate ($P < .05$) among technician, but not among bulls. With the methods described, it may be possible to reduce sperm numbers per inseminate sufficiently that sperm sorted by sex with a flow cytometer would have commercial application.

As mentioned and as can be seen from the various experiments, the field is statistically based and thus a variety of additional experiments may be conducted to show the appropriate combination and limitation strategies. Thus synergies among various affects will further be identified, such as instances in which the dye effects and combined dye effects with laser excitation may be studied.

The discussion included in this application is intended to serve as a basic description. The reader should be aware that the specific discussion may not explicitly describe all embodiments possible; many alternatives are implicit. It also may not fully explain the generic nature of the invention and may not explicitly show how each feature or element can actually be representative of a broader function or of a great variety of alternative or equivalent elements. Again, these are implicitly included in this disclosure. Where the invention is described in device-oriented terminology, each element of the device implicitly performs a function. Apparatus claims may not only be included for the device described, but also method or process claims may be included to address the functions the invention and each element performs. Neither the description nor the terminology is intended to limit the scope of the claims which may be submitted. It should be understood that a variety of changes may be made without departing from the essence of the invention. Such changes are also implicitly included in the description. They still fall within the scope of this invention. A broad

disclosure encompassing both the explicit embodiment(s) shown, the great variety of implicit alternative embodiments, and the broad methods or processes and the like are encompassed by this disclosure.

In addition, each of the various elements of the invention and claims may also be achieved in a variety of manners. This disclosure should be understood to encompass each such variation, be it a variation of an embodiment of any apparatus embodiment, a method or process embodiment, or even merely a variation of any element of these. Particularly, it should be understood that as the disclosure relates to elements of the invention, the words for each element may be expressed by equivalent apparatus terms or method terms -- even if only the function or result is the same. Such equivalent, broader, or even more generic terms should be considered to be encompassed in the description of each element or action. Such terms can be substituted where desired to make explicit the implicitly broad coverage to which this invention is entitled. As but one example, it should be understood that all actions may be expressed as a means for taking that action or as an element which causes that action. Similarly, each physical element disclosed should be understood to encompass a disclosure of the action which that physical element facilitates. As but one example of this aspect, the disclosure of a "collector" should be understood to encompass disclosure of the act of "collecting" -- whether explicitly discussed or not -- and, conversely, were there only disclosure of the act of "collecting", such a disclosure should be understood to encompass disclosure of a "collector." Such changes and alternative terms are to be understood to be explicitly included in the description. Further, it should be understood that in addition to the claims initially presented, the claims may be varied to more expansively address at least: i) devices as herein disclosed and described, ii) the related methods disclosed and described, iii) similar, equivalent, and even implicit variations of each of these devices and methods, iv) those alternative designs which accomplish each of the functions shown as are disclosed and described, v) those alternative designs and methods which accomplish each of the functions shown as are implicit to accomplish that which is disclosed and described, vi) each feature, component, and step shown as separate and independent inventions, and vii) the various combinations and permutations of each of the above.

To assist in understanding the invention, a variety of published references may be helpful. These are listed as follows and are hereby incorporated by reference; however, to the extent statements might be considered inconsistent with the patenting of this/these invention(s) such statements are expressly not to be considered as made by the applicant(s). Potentially helpful references include: United States of America Patent Nos: 5660997; 5589457; 5514537; 5439362; 5346990; 5135759; 5021244; 4999283; 4749458; 4698142; 4680258; 4511661; 4448767; 4362246; 4339434; 4276139; 4225405; 4191749; 4155831; 4092229 ; 4085205; 4083957; 4067965; 4009260; 3894529; 3687806; RE32350. Helpful references may also include the following publications: "Insemination of Holstein Heifers With Very Low Numbers Of Unfrozen Spermatozoa." G.E. Seidel, Jr., C.H. Allen, Z. Brink, J.K. Graham, and M.B. Cattell, Colorado State University, Fort Collins, Atlantic Breeders Cooperative, Lancaster, PA., DUO Dairy, Loveland, CO. July 1995; "Artificial Insemination With X-and Y-Bearing Bovine Sperm", G.E. Seidel, Jr., L.A. Johnson, C.A. Allen, G.R. Welch, M.D. Holland, Z. Brink and M.B. Cattell, Animal Reproduction and Biotechnology Laboratory, Colorado State University, Fort Collins, CO; Germplasm and Gamete Physiology Lab, ARS, USDA, Beltsville, MD; Atlantic Breeders Coop, Lancaster, PA; DUO Dairy, Loveland, CO, USA January 1996; "Insemination of Heifers with Very Low Numbers of Frozen Spermatozoa." G.E. Seidel, Jr., C.H. Allen, Z. Brink, M.D. Holland, and M.B. Cattell, Colorado State University, Fort Collins, Atlantic Breeders Cooperative, Lancaster, PA, DUO Dairy, Loveland, CO, July 1996; "Production of Lambs by Low Dose Intrauterine Insemination With Flow Cytometrically Sorted and Unsorted Semen," D.G. Cran, W.A.C. McKelvey, M.E. King, D.F. Dolman, T.G. McEvoy, P.J. Broadbent and J.J. Robinson, Mastercalf, Craibstone, Bucksburn, Aberdeen, AB21 9TN, UK Scottish Agricultural College, Craibstone, Bucksburn, Aberdeen. AB21 9YA, UK, Theriogenology, Page 267; "Uterine Horn Insemination of Heifers With Very Low Numbers of Nonfrozen and Sexed Spermatozoa," G.E. Seidel, Jr., C.H. Allen, L.A. Johnson, M.D. Holland, Z. Brink, G.R. Welch, J.K. Graham and M.B. Cattell, Animal Reproduction and Biotechnology Laboratory Colorado State University, Atlantic Breeders Cooperative, Lancaster, PA 17601, Germplasm and Gamete Physiology Laboratory ARS, USDA, Beltsville, MD 20705, DUO Dairy, Loveland, CO 80538, Theriogenology 48: 1255-1264, 1997; "Capacitation of Bovine Sperm by Heparin," J.J. Parrish, J. Susko-Parrish, M.A. Winer, and N.L. First, Department of Meat and Animal Science, University of Wisconsin, Madison, WI 53706, Biology Of Reproduction 38,

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Throughout this specification, unless the context requires otherwise, the word "comprise" or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated element or integer or group of elements or integers but not the exclusion of any other element or integer or group of elements or integers.

FILE 34

VI. CLAIMS

What is claimed is:

1. A method of producing a mammal having a predetermined sex comprising the steps of:
 - a. collecting sperm cells from a male of a species of mammal;
 - 5 b. determining the sex characteristic of a plurality of said sperm cells;
 - c. sorting said sperm cells according to the determination of their sex characteristic;
 - d. establishing a sorted artificial insemination sample having from about 10% to about 50% of the number of said sperm cells relative to a typical unsorted artificial insemination sample;
 - 10 e. inserting said sorted artificial insemination sample into a female of said species of said mammal;
 - f. fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable ($p > 0.1$) to said typical unsorted artificial insemination dosage; and
 - 15 g. producing an offspring mammal of the desired sex.
2. A method of producing a mammal having a predetermined sex as described in claim 1 wherein said step of collecting sperm cells from a male of a species of mammal comprises the step of collecting said sperm cells from said male of said species of said mammal selected from the group consisting of bovines and equines.
- 20 3. A method of producing a mammal having a predetermined sex as described in claim 1 or 2 wherein said steps of inserting said sorted artificial insemination sample into a female of said species of said mammal and fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable ($p > 0.1$) to the typical unsorted artificial insemination dosage are each accomplished in a field
- 25 environment.
4. A method of producing a mammal having a predetermined sex as described in claim 3 wherein said steps of inserting said sorted artificial insemination sample into a female of said species of said mammal and fertilizing at least one egg within said female of said

species of said mammal at success levels statistically comparable ($p>0.1$) to the typical unsorted artificial insemination dosage in a field environment comprises the steps of repetitively inserting a significant number of said sorted artificial insemination samples into a significant number of said females of said species of said mammal in rapid succession and in farm or ranch conditions.

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species of said mammal further comprises the step of inserting said sorted artificial insemination sample within said uterine horns through the use of embryo transfer equipment.

10. A method of producing a mammal having a predetermined sex as described in claim 5
5 wherein said step of inserting said sorted artificial insemination sample into a female of said species of said mammal comprises the step of inserting said sorted artificial insemination sample twelve hours after the time which is generally regarded as optimal for a single artificial insemination.
11. A method of producing a mammal having a predetermined sex as described in claim 9
10 wherein said step of establishing a sorted insemination sample comprises the step of establishing an unfrozen sorted artificial insemination sample, wherein said step of sorting said sperm cells according to the determination of their sex characteristic occurs at a sorting time, and wherein said step of inserting said sorted artificial insemination sample into a female species of said mammal occurs not later than about seventeen hours
15 from said sorting time.
12. A method of producing a mammal having a predetermined sex as described in claim 9
wherein said step of establishing a sorted artificial insemination sample comprises the step of establishing an unfrozen sorted artificial insemination sample, wherein said step of sorting said sperm cells according to the determination of their sex characteristic
20 occurs at a sorting time, and wherein said step of inserting said sorted artificial insemination sample into a female species of said mammal occurs not later than about ten hours from said sorting time.
13. A method of producing a mammal having a predetermined sex as described in claim 1
wherein said step of determining the sex characteristic of a plurality of said sperm cells
25 comprises the step of staining said sperm cells with at least about 38 micro-molar content of stain.
14. A method of producing a mammal having a predetermined sex as described in claim 1

or 3 wherein said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic comprise the steps of:

- a. establishing a cell source which supplies sperm cells to be sorted;
- 5 b. chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort sperm cell fluid environment;
- c. sensing a property of said sperm cells;
- d. discriminating between said sperm cells having a desired sex characteristic; and
- 10 e. collecting said sperm cells having the desired sex characteristic.

15. A method of producing a mammal having a predetermined sex as described in claim 1 wherein said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic comprise the steps of:

- 15 a. establishing a cell source which supplies bovine sperm cells to be sorted;
- b. establishing a sheath fluid for said bovine sperm cells which contains about 2.9% sodium citrate;
- c. sensing a property of said bovine sperm cells;
- d. discriminating between said bovine sperm cells having a desired sex
- 20 characteristic; and
- e. collecting said bovine sperm cells having the desired sex characteristic.

16. A method of producing a mammal having a predetermined sex as described in claim 1 wherein said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic

25 comprise the steps of:

- a. establishing a cell source which supplies equine sperm cells to be sorted;
- b. establishing a sheath fluid for said equine sperm cells which contains a hepes buffered medium;
- c. sensing a property of said equine sperm cells;
- 30 d. discriminating between said equine sperm cells having a desired sex

characteristic; and

- e. collecting said equine sperm cells having the desired sex characteristic.

17. A method of producing a mammal having a predetermined sex as described in claim 1 wherein said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic
5 comprise the steps of:

- a. establishing a cell source which supplies sperm cells to be sorted;
- b. establishing a sheath fluid for said sperm cells;
- c. sensing a property of said sperm cells;
- 10 d. discriminating between said sperm cells having a desired sex characteristic; and
- e. collecting said sperm cells having the desired sex characteristic while cushioning said sperm cells from impact with a collector wherein a cushioning element comprises initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells
15 with said collector.

18. A method of producing a mammal having a predetermined sex as described in claim 1 wherein said steps of determining the sex characteristic of a plurality of said sperm cells and sorting said sperm cells according to the determination of their sex characteristic comprise the steps of:

- 20 a. establishing a cell source which supplies sperm cells to be sorted;
- b. establishing a sheath fluid for said sperm cells;
- c. sensing a property of said sperm cells;
- d. discriminating between said sperm cells having a desired sex characteristic; and
- e. collecting said sperm cells having the desired sex characteristic in a citrate
25 collection fluid containing about six percent egg yolk prior to commencing said step of collecting.

19. A method of producing a mammal of a desired sex as described in claim 15, 16, 17 or 18 and further comprising the step of sorting said sperm cells at a rate of at least 500 sorts per second.

20. A method of producing a mammal having a predetermined sex as described in claim 1 and further comprising the step of using an ovulatory pharmaceutical to cause multiple eggs to be produced and wherein said step of fertilizing at least one egg within said female of said species of said mammal at success levels statistically comparable ($p > 0.1$) to the typical unsorted artificial insemination dosage comprises the step of fertilizing a plurality of said eggs to produce multiple, embryos having a predetermined sex, wherein said ovulatory pharmaceutical is injected in half day increments between any of days 2 and 18 of the estrus cycle.
21. A method of producing a mammal having a predetermined sex as described in claim 20 wherein said step of using an ovulatory pharmaceutical to cause multiple eggs to be produced comprises the step of injecting a dosage of follicle stimulating hormone.
22. A method of producing a mammal having a predetermined sex as described in claim 21 wherein said step of injecting said dosage of follicle stimulating hormone in approximately half day increments comprises a dosage level of 6, 6, 4, 4, 2, 2, 2, and 2 mg between days 9 and 12 inclusive of the estrus cycle and further comprising the step of injecting 25 and 12.5 mg of prostaglandin F-2-alpha on the sixth and seventh dosages, respectively, of said follicle stimulating hormone.
23. A method of producing a mammal having a predetermined sex as described in claim 19 and wherein said step of determining the sex characteristic of a plurality of said sperm cells and said step of sorting said sperm cells according to the determination of their sex characteristic further comprising the steps of:
- a. staining sperm cells of a male mammal with at least about 38 micro-molar content of stain;
 - b. sorting said sperm cells of said male mammal at a rate of at least 500 sorts per second; and
 - c. concentrating said sorted sperm cells of said male mammal.
24. A method of producing a mammal having a predetermined sex as described in claim 22 where said step of determining the sex characteristic of a plurality of said sperm cells

comprises the step of staining said cells with a 38 micro-molar concentration of stain.

25. A method of producing a mammal having a predetermined sex as described in claim 1 and further comprising the step of chemically coordinating a sheath fluid environment for sperm cells which is coordinated with both pre-sort and post-sort sperm cell fluid environments.
26. A method of producing a mammal having a predetermined sex as described in claim 25 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said cells which is coordinated with both a pre-sort and a post-sort cell fluid environments comprises the step of establishing a cell source which supplies bovine sperm cells and the step of establishing a sheath fluid which contains about 2.9% sodium citrate.
27. A method of producing a mammal having a predetermined sex as described in claim 25 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said cells which is coordinated with both a pre-sort and a post-sort cell fluid environment comprises the step of establishing a cell source which supplies equine sperm cells and the step of establishing a sheath fluid which contains a hepes buffered medium.
28. A method of producing a mammal having a predetermined sex as described in claim 27 wherein said step of sorting said sperm cells according to the determination of their sex characteristic further comprises the step of cushioning said cells from impact with a collector wherein cushioning said sperm cells comprises collecting said sperm cells into an initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.
29. A method of producing a mammal having a predetermined sex as described in claim 28 wherein said step of cushioning said cells from impact with a collector comprises the step of providing a collection container having a diameter of at least fifteen millimeters.

30. An improved flow cytometer system for isolating sperm cells for producing a mammal according to the method of claim 1 comprising:
- a. a cell source which supplies sperm cells to be analyzed by the flow cytometer;
 - b. a sheath fluid source which creates a sheath fluid environment for said sperm cells;
 - c. a nozzle through which said sperm cells pass while subjected to said sheath fluid environment;
 - d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
 - e. a sperm cell sensing system which responds to said sperm cells;
 - f. a sorter discrimination system which acts to sort said sperm cells according to the determination of their sex characteristic at a rate of at least 500 sorts per second; and
 - g. a collector into which sorted sperm cells having a desired sex characteristic are placed.
31. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 30 wherein said sheath fluid source which creates a sheath fluid environment for said sperm cells contains citrate buffer.
32. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 30 wherein said sheath fluid source which creates a sheath fluid environment for said sperm cells contains a hepes buffered medium.
33. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 32 wherein said cell source comprises equine sperm cells.
34. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 31 wherein said cell source comprises bovine cells.
35. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 34, wherein said sheath fluid source contains 2.9% sodium citrate buffer.

36. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 30, 31, 32, 33, 34 or 35 wherein said collector is used to provide a sorted artificial insemination sample having from about 10% to about 50% of the number of said sperm cells relative to the typical unsorted artificial insemination sample.
- 5 37. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 36 wherein said collector further comprises a cushioning element wherein said cushioning element comprises an initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.
- 10 38. An improved flow cytometer system for isolating sperm cells for producing a mammal as described in claim 37 wherein said configuration sufficiently large to avoid impact of said sperm cells with said collector comprises a collection container having a diameter of at least fifteen millimeters.
- 15 39. A method of producing a bovine mammal having a predetermined sex comprising the steps of:
- a. collecting bovine sperm cells from a male of a species of bovine mammal;
 - b. determining the sex characteristic of a plurality of said bovine sperm cells;
 - c. sorting said bovine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said bovine sperm cells contains about 2.9% sodium citrate;
 - 20 d. establishing a bovine sorted artificial insemination sample having between no more than about one hundred thousand to no more than three hundred thousand sorted bovine sperm cells;
 - e. inserting said artificial insemination sample into a female of said species of said bovine mammal;
 - 25 f. fertilizing at least one egg within said female species of said bovine mammal at success levels of at least 50%; and
 - g. producing an offspring bovine mammal of the desired sex.

40. A method of producing a mammal having a predetermined sex as described in claim 39 wherein said step of fertilizing at least one egg within said female of said species of said bovine mammal comprises the step of fertilizing at least one egg within said female species of said bovine mammal at success levels of at least 90%.
- 5 41. A method of producing a mammal having a predetermined sex as described in claim 39 or 40 wherein said steps of inserting said bovine sorted artificial insemination sample into a female of said species of said bovine mammal and fertilizing at least one egg within said female of said species of said bovine mammal at success levels of at least 50% is accomplished in a field environment.
- 10 42. A method of producing a mammal having a predetermined sex as described in claim 39 wherein said steps of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine mammal and fertilizing at least one egg within said female of said species of said bovine mammal at success levels of at least 50% in a field environment comprises the steps of repetitively inserting a significant number of bovine
15 sorted artificial insemination samples into a significant number of said females of said species of said bovine mammal in rapid succession and in farm or ranch conditions.
43. A method of producing a mammal having a predetermined sex as described in claim 39, 40 or 41 wherein said bovine mammal has uterine horns and wherein said step of inserting said sorted bovine artificial insemination sample into said female species of said
20 bovine mammal comprises the step of inserting said bovine sorted artificial insemination sample both ipsi- and contra-lateral within the uterine horns of said bovine mammal.
44. A method of producing a mammal having a predetermined sex as described in claim 39, 40 or 41 wherein said bovine mammal has at least one uterine horn and wherein said step of inserting said sorted bovine artificial insemination sample into said female species of
25 said bovine mammal comprises the step of inserting said bovine sorted artificial insemination sample deep within said uterine horn.
45. A method of producing a mammal having a predetermined sex as described in claim 42

wherein said step of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine mammal further comprises the step of inserting said bovine sorted insemination sample deep within said uterine horns.

46. A method of producing a mammal having a predetermined sex as described in claim 43
5 wherein step of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine mammal further comprises the step of inserting said bovine sorted artificial insemination sample within said uterine horn through the use of embryo transfer equipment.
47. A method of producing a mammal having a predetermined sex as described in claim 44
10 wherein step of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine mammal further comprises the step of inserting said bovine sorted artificial insemination sample within said uterine horn through the use of embryo transfer equipment.
48. A method of producing a mammal having a predetermined sex as described in claim 42
15 wherein said step of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine mammal comprises the step of inserting said bovine sorted artificial insemination sample twelve hours after the time which is generally regarded as optimal for a single bovine artificial insemination.
49. A method of producing a mammal having a predetermined sex as described in claim 46
20 wherein said step of establishing a bovine sorted artificial insemination sample comprises the step of establishing an unfrozen bovine artificial insemination sample, wherein said step of sorting said bovine sperm cells according to the determination of their sex characteristic occurs at a sorting time, and wherein said step of inserting said bovine sorted artificial insemination sample into said female of said species of said bovine
25 mammal occurs not later than about seventeen hours from said sorting time.
50. A method of producing a mammal having a predetermined sex as described in claim 46 wherein said step of establishing a sorted insemination sample comprises the step of

establishing an unfrozen sorted insemination sample, wherein said step of sorting said sperm cells according to the determination of their sex characteristic occurs at a sorting time, and wherein said step of inserting said insemination sample into a female of said species of said mammal occurs not later than about ten hours from said sorting time.

- 5 51. A method of producing a mammal having a predetermined sex as described in claim 1 or 50 wherein said step of determining the sex characteristic of a plurality of said bovine sperm cells comprises the step of staining said bovine sperm cells with at least about 38 micro-molar content of stain.
52. A method of producing a mammal having a predetermined sex as described in claim 39
10 wherein said steps of determining the sex characteristic of a plurality of said bovine sperm cells and sorting said bovine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said bovine sperm cells contains about 2.9% sodium citrate comprise the steps of:
- 15 a. establishing a cell source which supplies bovine sperm cells to be sorted;
b. chemically coordinating said sheath fluid environment for said bovine sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment;
c. sensing a property of said bovine sperm cells;
d. discriminating between said bovine sperm cells having a desired sex characteristic; and
20 e. collecting bovine sperm cells having said desired sex characteristic.
53. A method of producing a mammal having a predetermined sex as described in claim 40 wherein said steps of determining the sex characteristic of a plurality of said bovine sperm cells and sorting said bovine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said bovine sperm cells
25 contains about 2.9% sodium citrate comprise the steps of:
- a. establishing a cell source which supplies bovine sperm cells to be sorted;
b. chemically coordinating said sheath fluid environment for said bovine sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment;
c. sensing a property of said bovine sperm cells;

- d. discriminating between said bovine sperm cells having a desired sex characteristic; and
- e. collecting bovine sperm cells having said desired sex characteristic.

54. A method of producing a mammal having a predetermined sex as described in claim 39
5 wherein said steps of determining the sex characteristic of a plurality of said bovine sperm cells and sorting said sperm cells according to the determination of their sex characteristic ,wherein a sheath fluid environment for said bovine sperm cells contains about 2.9% sodium citrate comprise the steps of:

- a. establishing a cell source which supplies bovine sperm cells to be sorted;
- 10 b. establishing said sheath fluid environment for said bovine sperm cells;
- c. sensing a property of said bovine sperm cells;
- d. discriminating between said bovine sperm cells having a desired sex characteristic; and
- e. collecting said bovine cells having said desired sex characteristic while
15 cushioning said bovine sperm cells from impact with a collector wherein a cushioning element comprises initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

55. A method of producing a mammal having a predetermined sex as described in claim 39
20 wherein said steps of determining the sex characteristic of a plurality of said bovine sperm cells and sorting said bovine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said bovine sperm cells contains about 2.9% sodium citrate comprise the steps of:

- a. establishing a cell source which supplies bovine sperm cells to be sorted;
- 25 b. establishing said sheath fluid environment for said bovine sperm cells;
- c. sensing a property of said bovine sperm cells;
- d. discriminating between said bovine sperm cells having a desired sex characteristic; and
- e. collecting said bovine sperm cells having the desired sex characteristic in a citrate
30 collection fluid containing about six percent egg yolk prior to commencing said

step of collecting.

56. A method of producing a mammal of a desired sex as described in claim 39 and further comprising the step of sorting said bovine sperm cells at a speed of at least 500 sorts per second.
- 5 57. A method of producing a mammal having a predetermined sex as described in claim 39 and further comprising the step of using an ovulatory pharmaceutical to cause multiple eggs to be produced by said female of said species of said bovine mammal and wherein said step of fertilizing at least one egg within said female of said species of said bovine mammal at success levels of at least 50% comprises the step of fertilizing a plurality of
10 said eggs produced by said female of said species of said bovine mammal to produce multiple, sexed embryos having a predetermined sex.
58. A method of producing a mammal having a predetermined sex as described in claim 57 wherein said step of using an ovulatory pharmaceutical to cause multiple eggs to be produced by said female of said species of said bovine mammal comprises the step of
15 injecting a dosage of follicle stimulating hormone a plurality of times a day wherein said ovulatory pharmaceutical is injected in half day increments between any of days 2 and 18 of the estrus cycle.
59. A method of producing a mammal having a predetermined sex as described in claim 58 wherein said step of injecting said dosage of follicle stimulating hormone a plurality of
20 times a day comprises the step of injecting said follicle stimulating hormone in approximately half day increments at a dosage level of 6, 6, 4, 4, 2, 2, 2, and 2 mg between days 9 and 12 inclusive of the estrus cycle and further comprising the step of injecting 25 and 12.5 mg of prostaglandin F-2-alpha on the sixth and seventh dosages, respectively, of said follicle stimulating hormone.
- 25 60. A method of producing a mammal having a predetermined sex as described in claim 56 wherein said step of sorting said cells at a rate of at least 1200 sorts per second comprises operating a flow cytometer in the range of about 5 kilohertz to about 50 kilohertz.

61. A method of producing a mammal having a predetermined sex as described in claim 54 wherein said step of cushioning said bovine sperm cells from impact with said collection container comprises a collection container having stream matched physical characteristics.
- 5 62. A method of producing a mammal having a predetermined sex as described in claim 54 wherein said step of cushioning said bovine sperm cells from impact with a collection container comprises the step of providing a collection container having a diameter of at least fifteen millimeters.
- 10 63. An improved flow cytometer system for isolating bovine sperm cells for producing a mammal according to the method of claim 39 comprising:
- a. a cell source which supplies bovine sperm cells to be analyzed by said flow cytometer;
 - b. a sheath fluid source which creates a sheath fluid environment for said bovine sperm cells which contains about 2.9% sodium citrate;
 - 15 c. a nozzle through which said bovine sperm cells pass while subjected to said sheath fluid environment;
 - d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
 - e. a sperm cell sensing system which responds to said bovine sperm cells;
 - f. a sorter discrimination system which acts to sort said bovine sperm cells having
 - 20 a desired sex characteristic; and
 - g. a collector into which said bovine sperm cells having said desired sex characteristic are placed.
64. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 wherein said collector is used to provide a bovine sorted artificial insemination
- 25 sample.
65. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 or 64 wherein said nozzle, said oscillator, said cell sensing system, and said sorter discrimination system are part of said flow cytometer system and wherein said

flow cytometer system comprises a cell sorter which sorts said bovine sperm cells at a rate of at least 500 sorts per second.

66. An improved flow cytometer system for isolating bovine sperm cells as described in claim 64 wherein said sorted bovine sperm cells are used to provide bovine sperm cells for artificial insemination.

67. An improved flow cytometer system for isolating bovine sperm cells as described in claim 65 wherein said cell sorter sorts said bovine sperm cells to be analyzed at a rate of at least 1200 sorts per second.

68. An improved flow cytometer system for isolating bovine sperm cells as described in claim 67 wherein said cell sorter operates in the range of about 5 kilohertz to about 50 kilohertz.

69. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 wherein said collector comprises an initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

70. An improved flow cytometer system for isolating bovine sperm cells as described in claim 69 wherein said collector comprises a collection container having a diameter of at least fifteen millimeters.

71. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 wherein said collector comprises a collection container having stream matched physical characteristics.

72. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 wherein bovine sperm cells are stained with at least 38 micro-molar content of stain.

73. An improved flow cytometer system for isolating bovine sperm cells as described in claim 63 wherein said sheath fluid source which creates a sheath fluid environment for said bovine sperm cells which contains about 2.9% sodium citrate further comprises a sheath fluid environment which is coordinated with said pre-sort and post-sort bovine sperm cell fluid environments.
74. A method of producing a mammal having a predetermined sex comprising the steps of:
- a. collecting equine sperm cells from a male of a species of equine mammal;
 - b. determining the sex characteristic of a plurality of said equine sperm cells;
 - c. sorting said equine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said equine sperm cells contains hepes buffered medium;
 - d. establishing an equine sorted artificial insemination sample having between no more than one million to no more than twenty five million of said sorted equine sperm cells;
 - e. inserting said equine sorted insemination sample into a female of said species of said equine mammal;
 - f. fertilizing at least one egg within said female of said species of said equine mammal at success levels of at least 35% of at least 41%, of at least 50%, and least 90%; and
 - g. producing an offspring equine mammal of the desired sex.
75. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of inserting said equine sorted artificial insemination sample into a female of said species of said equine mammal and fertilizing at least one egg within said female of said species of said equine mammal at success levels of at least 35% is accomplished in a field environment.
76. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of inserting said equine sorted artificial insemination sample into a female of said species of said equine mammal and fertilizing at least one egg within said female of said species of said equine mammal at success levels of at least 35% in a field

environment comprises the steps of repetitively inserting a significant number of equine sorted insemination samples into a significant number of females of said species of said equine mammal in rapid succession and in farm or ranch conditions.

- 5 77. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said equine mammal has uterine horns and wherein said step of inserting said equine sorted artificial insemination sample into a female of said species of said equine mammal comprises the step of inserting said equine sorted artificial insemination sample both ipsi- and contra-lateral within the uterine horns of said equine mammal.
- 10 78. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said equine mammal has at least one uterine horn and wherein said step of inserting said equine sorted artificial insemination sample into a female species of said equine mammal comprises the step of inserting said equine insemination sample deep within said uterine horn.
- 15 79. A method of producing a mammal having a predetermined sex as described in claim 77 wherein said step of inserting said equine sorted artificial insemination sample into a female species of said equine mammal further comprises the step of inserting said equine sorted artificial insemination sample deep within said uterine horns.
- 20 80. A method of producing a mammal having a predetermined sex as described in claim 78 wherein step of inserting at least a portio of said equine sorted artificial insemination sample into a female species of said equine mammal further comprises the step of inserting said equine sorted artificial insemination sample within said uterine horn through the use of embryo transfer equipment.
- 25 81. A method of producing a mammal having a predetermined sex as described in claim 79 wherein step of inserting said equine sorted artificial insemination sample into a female species of said equine mammal further comprises the step of inserting said equine sorted artificial insemination sample within said uterine horn through the use of embryo transfer equipment.

82. A method of producing a mammal having a predetermined sex as described in claim 77 wherein said step of inserting said sorted artificial insemination sample into a female of said species of said mammal comprises the step of inserting said sorted artificial insemination sample twelve hours after the time which is generally regarded as optimal for a single artificial insemination.

83. A method of producing a mammal having a predetermined sex as described in claim 81 wherein said step of establishing an equine sorted artificial insemination sample comprises the step of establishing an unfrozen equine sorted artificial insemination sample, wherein said step of sorting said equine sperm cells according to the determination of their sex characteristic occurs at a sorting time, and wherein said step of inserting said sorted equine artificial insemination sample into a female of said species of said equine mammal occurs not later than about seventeen hours from said sorting time.

84. A method of producing a mammal having a predetermined sex as described in claim 81 wherein said step of establishing an equine sorted artificial insemination sample comprises the step of establishing an unfrozen equine sorted artificial insemination sample, wherein said step of sorting said equine sperm cells according to the determination of their sex characteristic occurs at a sorting time, and wherein said step of inserting said equine artificial insemination sample into a female species of said equine mammal occurs not later than about ten hours from said sorting time.

85. A method of producing a mammal having a predetermined sex as described in claim 75 wherein said step of determining the sex characteristic of a plurality of said equine sperm cells comprises the step of staining said equine sperm cells with at least about 38 micromolar content of stain.

86. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of determining the sex characteristic of a plurality of said equine sperm cells and sorting said equine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said equine sperm cells

contains hepes buffered medium comprise the steps of:

- a. establishing a cell source which supplies equine sperm cells to be sorted;
- b. chemically coordinating said sheath fluid environment for said equine sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment;
- 5 c. sensing a property of said equine sperm cells;
- d. discriminating between said equine sperm cells having a desired sex characteristic; and
- e. collecting said equine sperm cells having the desired sex characteristic.

87. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of determining the sex characteristic of a plurality of said equine sperm cells and sorting said equine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said equine sperm cells contains hepes buffered medium comprise the steps of:

- a. establishing a cell source which supplies equine sperm cells to be sorted;
- 15 b. chemically coordinating said sheath fluid environment for said equine sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment;
- c. sensing a property of said equine sperm cells;
- d. discriminating between said equine sperm cells having a desired sex characteristic; and
- 20 e. collecting said equine sperm cells having the desired sex characteristic.

88. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of determining the sex characteristic of a plurality of said equine sperm cells and sorting said equine sperm cells according to the determination of their sex characteristic, wherein a sheath fluid environment for said equine sperm cells contains hepes buffered medium comprise the steps of:

- a. establishing a cell source which supplies equine sperm cells to be sorted;
- b. establishing a sheath fluid for said equine sperm cells;
- c. sensing a property of said equine sperm cells;
- 25 d. discriminating between said equine sperm cells having a desired sex characteristic; and
- 30

- 5 e. collecting said equine sperm cells having the desired sex characteristic while cushioning said equine sperm cells from impact with a collector wherein a cushioning element comprises initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

89. A method of producing a mammal having a predetermined sex as described in claim 74 wherein said steps of determining the sex characteristic of a plurality of said equine sperm cells and sorting said equine sperm cells according to the determination of their sex characteristic comprise the steps of:
- 10 a. establishing a cell source which supplies equine sperm cells to be sorted;
- b. establishing a sheath fluid for said equine sperm cells;
- c. sensing a property of said equine sperm cells;
- d. discriminating between said equine sperm cells having a desired sex characteristic; and
- 15 e. collecting said equine sperm cells having the desired sex characteristic in a citrate collection fluid containing about six percent egg yolk prior to commencing said step of collecting.
90. A method of producing a mammal of a desired sex as described in claim 74 and further comprising the step of sorting said equine sperm cells at a rate of at least 500 sorts per
- 20 second.
91. A method of producing a mammal having a predetermined sex as described in claim 74 and further comprising the step of using an ovulatory pharmaceutical to cause multiple eggs to be produced by said female of said species of said equine mammal comprises the step of injecting a dosage of follicle stimulating hormone a plurality of times a day
- 25 wherein said ovulatory pharmaceutical is injected in half day increments between any of days 2 and 18 of the estrus cycle.
92. A method of producing a mammal having a predetermined sex as described in claim 91 wherein injecting said dosage of follicle stimulating hormone a plurality of times a day

comprises injecting said follicle stimulating hormone in approximately half day increments at a dosage level of 6, 6, 4, 4, 2, 2, 2, and 2 mg between days 9 and 12 inclusive of the estrus cycle and wherein supplementing said dosage of follicle stimulating hormone with prostaglandin F-2-alpha comprises the step of injecting 25 and 12.5 mg of prostaglandin F-2-alpha on the sixth and seventh dosages, respectively, of said follicle stimulating hormone.

93. A method of producing a mammal having a predetermined sex as described in claim 92 wherein said step of sorting said equine sperm cells at a rate greater than or equal to 500 sorts per second comprises operating a flow cytometer in the range of about 5 kilohertz to about 50 kilohertz.

94. A method of producing a mammal having a predetermined sex as described in claim 93 wherein said step of collecting equine sperm cells having the desired characteristic comprises the step of cushioning said equine sperm cells from impact with a collection container wherein said step of cushioning comprises an initial collection fluid in the bottom of said collection container and wherein said collection container has a configuration sufficiently large to avoid impact with said equine sperm cells.

95. A method of producing a mammal having a predetermined sex as described in claim 94 wherein said step of cushioning said equine sperm cells from impact with a collection container having a configuration sufficiently large to avoid impact with said equine sperm cells comprises the step of providing a collection container having a diameter of at least fifteen millimeters.

96. An improved flow cytometer system for isolating equine sperm cells for producing a mammal according to claim 74 comprising:

- a. a cell source which supplies equine sperm cells to be analyzed by said flow cytometer;
- b. a sheath fluid source which creates a sheath fluid environment for said equine sperm cells which contains a hepes buffered medium;
- c. a nozzle through which said equine sperm cells pass while subjected to said

sheath fluid environment;

- d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
- e. a equine sperm cell sensing system which responds to said equine sperm cells;
- f. a sorter discrimination system which acts to sort said equine sperm cells having
5 a desired sex characteristic; and
- g. a collector into which sorted equine sperm cells having said desired sex
characteristic are placed.

97. An improved flow cytometer system for isolating equine sperm cells for producing a
mammal as described in claim 96 wherein said collector is used to provide a sorted
10 artificial insemination sample having no more than 25 million sorted sperm cells.

98. An improved flow cytometer system for isolating equine sperm cells for producing a
mammal as described in claim 97 wherein said nozzle, oscillator, cell sensing system, and
sorter discrimination system are part of a flow cytometer system and wherein said flow
cytometer system comprises a cell sorter which sorts said equine sperm cells at a rate of
15 at least 500 sorts per second.

99. An improved flow cytometer system for isolating equine sperm cells for producing a
mammal as described in claim 98 wherein said nozzle, oscillator, cell sensing system, and
sorter discrimination system are part of a flow cytometer system and wherein said flow
cytometer system comprises a cell sorter which sorts said equine sperm cells at a rate of
20 at least 1200 sorts per second.

100. An improved flow cytometer system for isolating equine sperm cells for producing a
mammal as described in claim 99 wherein said collector is used to provide said sorted
equine sperm for artificial insemination.

101. An improved flow cytometer system for isolating equine sperm cells for producing a
25 mammal as described in claim 92 wherein said cell sorter sorts said equine sperm cells
to be analyzed at a rate of at least five hundred sorts per second.

102. An improved flow cytometer system for isolating equine sperm cells for producing a mammal as described in claim 101 wherein said cell sorter operates in a range of about 5 kilohertz to about 50 kilohertz.
103. An improved flow cytometer system for isolating equine sperm cells for producing a mammal as described in claim 99 wherein said collector comprises an initial collection fluid in the bottom of said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.
104. An improved flow cytometer system for isolating equine sperm cells for producing a mammal as described in claim 103 wherein said collector comprises a collection container having a diameter of at least fifteen millimeters.
105. An improved flow cytometer system for isolating sperm cells according to their sex comprising:
- a. a cell source which supplies sperm cells to be analyzed by the flow cytometer;
 - b. a chemically coordinated sheath fluid source which creates a sheath fluid environment for said sperm cells which is selected to be coordinated with both a pre-sort and a post-sort sperm cell fluid environment;
 - c. a nozzle through which said sperm cells pass while subjected to said sheath fluid environment;
 - d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
 - e. a sperm cell sensing system which responds to said cells;
 - f. a sorter discrimination system which acts to sort said sperm cells according to their sex; and
 - g. a collector into which said sperm cells sorted according to their sex are placed.
106. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said collector has a collection fluid, wherein said collection fluid comprises a nutrient which is coordinated to balance the amount of said nutrient after completion of the sorting of said sperm cells.

107. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 which further comprises a collector into which said sperm cells having a desired characteristic are placed and which comprises a citrate collection fluid containing about six percent egg yolk.
- 5 108. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said nozzle, oscillator, cell sensing system, and sorter discrimination system are part of a flow cytometer system and wherein said flow cytometer system comprises a cell sorter which sorts said sperm cells at a rate of at least 500 sorts per second.
- 10 109. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said chemically coordinated sheath fluid source which creates a sheath fluid environment for said sperm cells which is selected to be coordinated with both a pre-sort and a post-sort sperm cell fluid environment comprises a citrate.
- 15 110. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 or 109 wherein said cell source comprises bovine sperm cells.
111. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said cell source comprises equine sperm cells.
112. An improved flow cytometer system for isolating sperm cells according to their sex as
20 described in claim 105 wherein said collector is used to provide a sorted artificial insemination sample.
113. An improved flow cytometer system for isolating sperm cells according to their sex as
25 described in claim 112 wherein said sorted insemination sample comprises a sorted artificial insemination sample having no more than about ten percent of the typical number of sperm cells provided in a typical unsorted artificial insemination dosage.

114. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 112 wherein said sorted artificial insemination sample comprises a bovine sorted insemination sample and wherein said bovine sorted artificial insemination sample comprises a dosage of less than about five hundred thousand bovine sperm.

5 115. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 112 wherein said sorted artificial insemination sample comprises a bovine sorted insemination sample and wherein said bovine sorted artificial insemination sample comprises a dosage of less than about three hundred thousand bovine sperm.

10 116. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 112 wherein said sorted artificial insemination sample comprises an equine sorted insemination sample and wherein said equine sorted artificial insemination sample comprises a dosage of less than about ten million equine sperm.

15 117. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 112 wherein said collector is used to provide a sorted artificial insemination sample for artificial insemination.

118. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said chemically coordinated sheath fluid source comprises a solution containing a hepes buffered medium.

20 119. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 118 wherein said cell source comprises equine sperm cells.

120. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said chemically coordinated sheath fluid source comprises a solution containing about 2.9% sodium citrate.

25 121. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 105 wherein said collector has a cushioning element, wherein said

cushioning element comprises initial collection fluid in the bottom of the said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

- 5 122. An improved flow cytometer system for isolating sperm cells according to their sex as described in claim 121 wherein said collector comprises a container comprising said cushioning element.
123. An improved flow cytometer system for isolating sperm cells as described in claim 122 wherein said container comprises a collection tube.
- 10 124. An improved flow cytometer system for isolating sperm cells as described in claim 123 wherein said collection tube is at least about fifteen millimeters wide.
125. A method of sorting sperm cells using a flow cytometer according to claim 108, comprising the steps of :
- 15 a. establishing a cell source which supplies sperm cells to be sorted;
- b. chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment;
- c. sensing a property of said sperm cells;
- d. discriminating between sperm cells according to their sex; and
- 20 e. collecting sperm cells having the desired sex characteristic.
126. A method of sorting sperm cells as described in claim 125, wherein said step of chemically coordinating said collector fluid to create an ending collector fluid environment for said sperm cells which is coordinated with a pre-sort fluid environment.
- 25 127. A method of sorting sperm cells as described in claim 125 wherein said step of establishing a cell source comprises the step of establishing a source of bovine sperm cells.

128. A method of sorting sperm cells as described in claim 125 wherein said step of establishing a cell source comprises the step of establishing a source of equine sperm cells.

5 129. A method of sorting sperm cells as described in claim 127 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment comprises the step of establishing a cell source which supplies bovine sperm cells to be sorted and establishing a sheath fluid which contains about 2.9% sodium citrate.

10 130. A method of sorting sperm cells as described in claim 128 wherein said step of chemically coordinating a sheath fluid to create a sheath fluid environment for said sperm cells which is coordinated with both a pre-sort and a post-sort cell fluid environment comprises the step of establishing a cell source which supplies equine sperm cells to be sorted and establishing a sheath fluid which contains a hepes buffered medium.

15 131. A method of sorting sperm cells as described in claim 125 wherein said step of establishing a cell source comprises the step of providing an initial nutrient for said cells and further comprising the step of providing a collection fluid nutrient for said cells and wherein said step of collecting cells having the desired characteristic in a collector fluid comprises the step of balancing said initial nutrient and said collection fluid nutrient after the completion of said step of collecting said cells.

20 132. A method of sorting sperm cells as described in claim 125 wherein said step of collecting cells having the desired characteristic in a collector fluid comprises the step of establishing a citrate collection fluid containing about six percent egg yolk prior to commencing said step of collecting.

25 133. A method of sorting sperm cells as described in claim 132 wherein said step of establishing a cell source comprises the step of establishing a source of bovine sperm cells.

134. A method of sorting sperm cells as described in claim 125 and further comprising the step of inseminating a mammal using a sorted insemination sample.
135. A method of sorting sperm cells as described in claim 125 further comprising the step of collecting sperm cells having the desired sex characteristic comprising cushioning said sperm cells from impact with a collector wherein cushioning said sperm cells comprises collecting said sperm cells into an initial collector fluid in the bottom of a collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.
136. A method of sorting sperm cells as described in claim 135 wherein said step of collecting said sperm cells into an initial collector fluid in the bottom of a collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector comprises the step of providing a collection container.
137. A method of sorting sperm cells as described in claim 136 wherein said step of providing a collection container comprises providing a collection container having a diameter of at least 15 millimeters.
138. A method of sorting sperm cells as described in claim 137 and further comprising the step of sorting said cells at a rate of at least 500 sorts per second.
139. A method of sorting sperm cells as described in claim 138 wherein said step of sorting said cells at a rate of at least 500 sorts per second comprises the step of operating a flow cytometer in a range of about 5 kilohertz to about 50 kilohertz.
140. A method of producing a sexed sperm specimen as described in claim 125 and further comprising the step of sorting said cells at a rate of at least 500 sorts per second.
141. An improved flow cytometer system for isolating sperm cells comprising:
- a. a cell source which supplies sperm cells to be analyzed by the flow cytometer;

- 5
- b. a sheath fluid source which creates a sheath fluid environment for said sperm cells;
 - c. a nozzle through which said sperm cells pass while subjected to said sheath fluid environment;
 - d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
 - e. a cell sensing system which responds to said sperm cells;
 - f. a sorter discrimination system which acts to sort sperm cells according to their sex; and
 - 10 g. a collector having a cushioning element wherein said cushioning element comprises initial collection fluid in the bottom of the said collector and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

142. An improved flow cytometer system for isolating sperm cells as described in claim 141
15 wherein said collector comprises a container.

143. An improved flow cytometer system for isolating sperm cells as described in claim 144 wherein said container comprises a collection tube.

144. An improved flow cytometer system for isolating sperm cells as described in claim 141 wherein said collection tube is at least about fifteen millimeters wide.

20 145. An improved flow cytometer system for isolating sperm cells as described in claim 142 wherein said container comprises a test tube having the physical characteristics of a stream-matched container.

146. A method of sorting sperm cells according to claim 141 , comprising the steps of :
25

- a. establishing a cell source which supplies sperm cells to be sorted;
- b. establishing a sheath fluid to create a sheath fluid environment for said sperm cells;
- c. sensing a property of said sperm cells;

- d. discriminating between sperm cells having according to their sex; and
- e. collecting sperm cells having the desired sex characteristic comprising the step of cushioning said sperm cells from impact with a collector wherein a cushioning element comprises initial collector fluid in the bottom of said collection and wherein said collector has a configuration sufficiently large to avoid impact of said sperm cells with said collector.

10 148. A method of sorting sperm cells as described in claim 147 wherein said step of providing a collection container comprises providing a collection container having a diameter of at least 15 millimeters.

150. An improved flow cytometer system for isolating sperm cells comprising:

- a. a cell source which supplies sperm cells to be analyzed by the flow cytometer;
- b. a sheath fluid source which creates a sheath fluid environment for said sperm cells;
- c. a nozzle through which said cells pass while subjected to said sheath fluid environment;
- d. an oscillator which acts upon said sheath fluid as it passes through said nozzle;
- e. a sperm cell sensing system which responds to said cells;
- f. a sorter discrimination system which acts to sort sperm cells having a desired characteristic; and
- g. a collector configured to avoid impact between said sperm cells and said collector.

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wherein said collector configured to avoid impact between said sperm cells and said collector comprises a stream-matched container.

152. An improved flow cytometer system for isolating sperm cells as described in claim 151 wherein said collector configured to avoid impact between said sperm cells and said collector comprises a collection tube having a diameter sufficiently large to avoid impact between said sperm cells and the inner walls of said collection tube.
153. An improved flow cytometer system for isolating sperm cells as described in claim 152 wherein said step wherein said collection tube has a diameter of at least 15 millimeters.
154. An improved flow cytometer system for isolating sperm cells as described in claims 150, 154, 155 or 156 wherein said nozzle, said oscillator, said cell sensing system, and said sorter discrimination system are part of a flow cytometer system and wherein said flow cytometer system comprises a cell sorter which sorts said sperm cells at a rate of at least 500 sorts per second.
155. A method of producing multiple, embryos having predetermined sex from a female mammal comprising:
- a. creating superovulation in said mammal to create at least two eggs comprising the step of using an ovulatory pharmaceutical to cause multiple eggs to be produced, wherein said ovulatory pharmaceutical is injected in half day increments between any of days 2 and 18 of the estrus cycle;
 - b. determining a sex of a sperm cell of a male mammal;
 - c. sorting according to said sex of said sperm cells;
 - d. inserting said sorted sperm cells into a uterus of said female mammal after an onset of estrus; and
 - e. fertilizing a plurality of said eggs in said uterus to produce multiple, embryos having predetermined sex.
156. A method of producing multiple, embryos having predetermined sex as described in claim 155 wherein said step for injecting said ovulatory pharmaceutical in half day

increments comprises injecting at least seven injections and further comprising the step of incorporating an estrus manipulation system at least on about the sixth and seventh injections.

157. A method of producing multiple, embryos having predetermined sex as described in
5 claim 156 wherein inserting said sorted sperm cells into said uterus comprises inserting said sperm cells into both uterine horns of said uterus.
158. A method of producing multiple, embryos having predetermined sex as described in claim 157 wherein inserting into both uterine horns comprises inserting said sperm cells approximately between 20 to 24 hours inclusive after said onset of said estrus.
- 10 159. A method of producing multiple, embryos having predetermined sex as described in claim 155 wherein said step of using an ovulatory pharmaceutical to cause multiple eggs to be produced comprises the step of injecting a dosage of follicle stimulating hormone a plurality of times a day.
160. A method of producing multiple, embryos having predetermined sex as described in
15 claim 159 wherein said step of creating superovulation in said mammal to create at least two eggs further comprises the step of incorporating an estrus manipulation system comprising the step of supplementing said dosage of follicle stimulant hormone with prostaglandin F-2-alpha.
161. A method of producing multiple, embryos having predetermined sex as described in
20 claim 160 wherein injecting said dosage of follicle stimulating hormone a plurality of times a day comprises injecting said follicle stimulating hormone in approximately half day increments at a dosage level of 6, 6, 4, 4, 2, 2, 2, and 2 mg between days 9 and 12 inclusive of the estrus cycle and wherein supplementing said dosage of follicle stimulating hormone with prostaglandin F-2-alpha comprises the step of injecting 25 and
25 12.5 mg of prostaglandin F-2-alpha on the sixth and seventh dosages, respectively, of said follicle stimulating hormone.

162. A method of producing multiple, embryos having predetermined sex as described in claim 155 and further comprising the steps of:
- a. staining sperm cells of a male mammal using 38 micro-molar stain;
 - b. sorting according to said sex of said sperm cells at a rate of at least 500 sorts per second; and
 - c. concentrating said sorted sperm cells.
- 5
163. A method of producing multiple, embryos having predetermined sex as described in claim 155 wherein inserting said sorted sperm cells comprises using a sorted insemination sample.
- 10 164. A method of producing multiple, embryos having predetermined sex as described in claim 162 wherein inserting said sorted sperm cells comprises using a sorted insemination sample.

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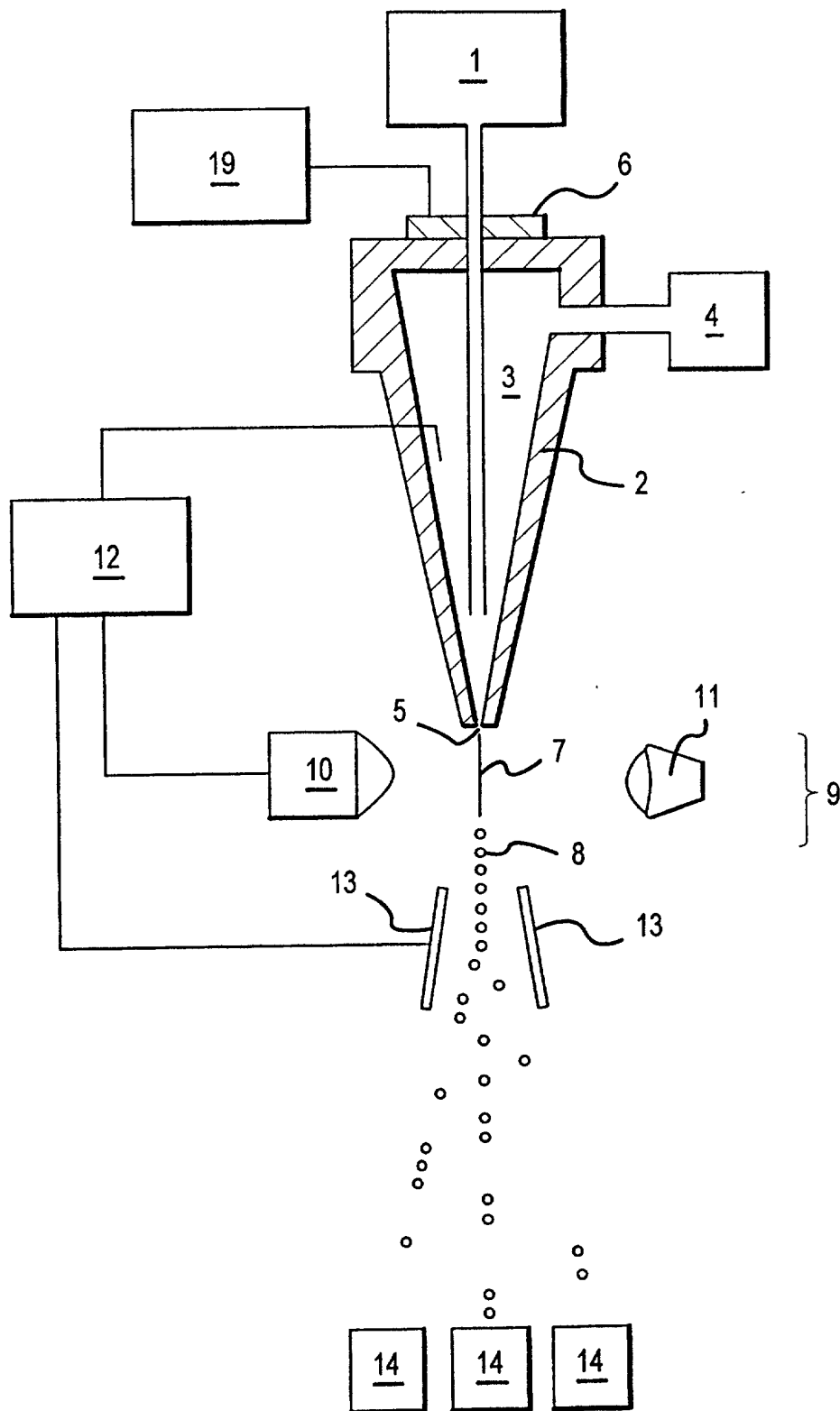


FIG. 1

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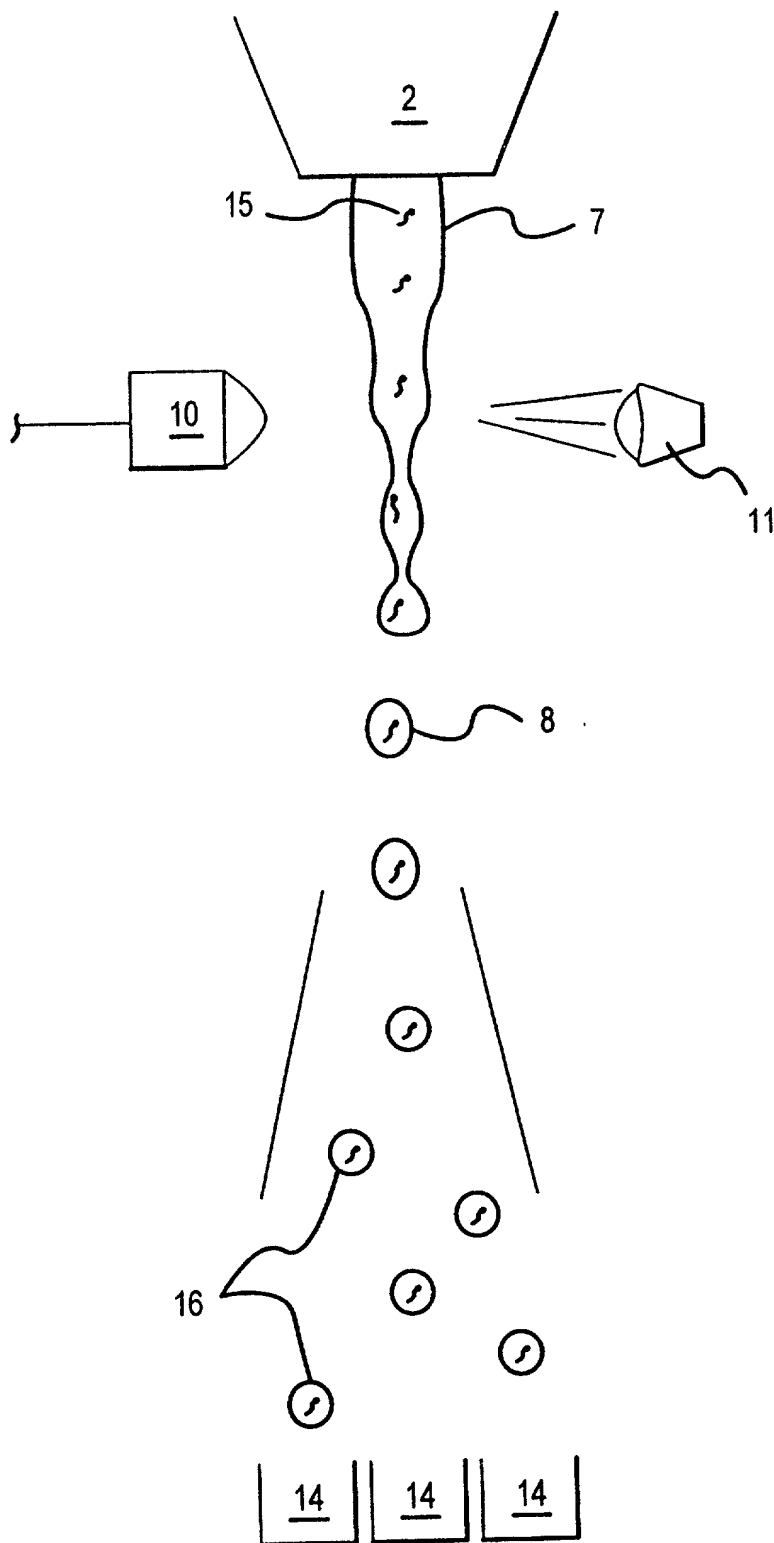
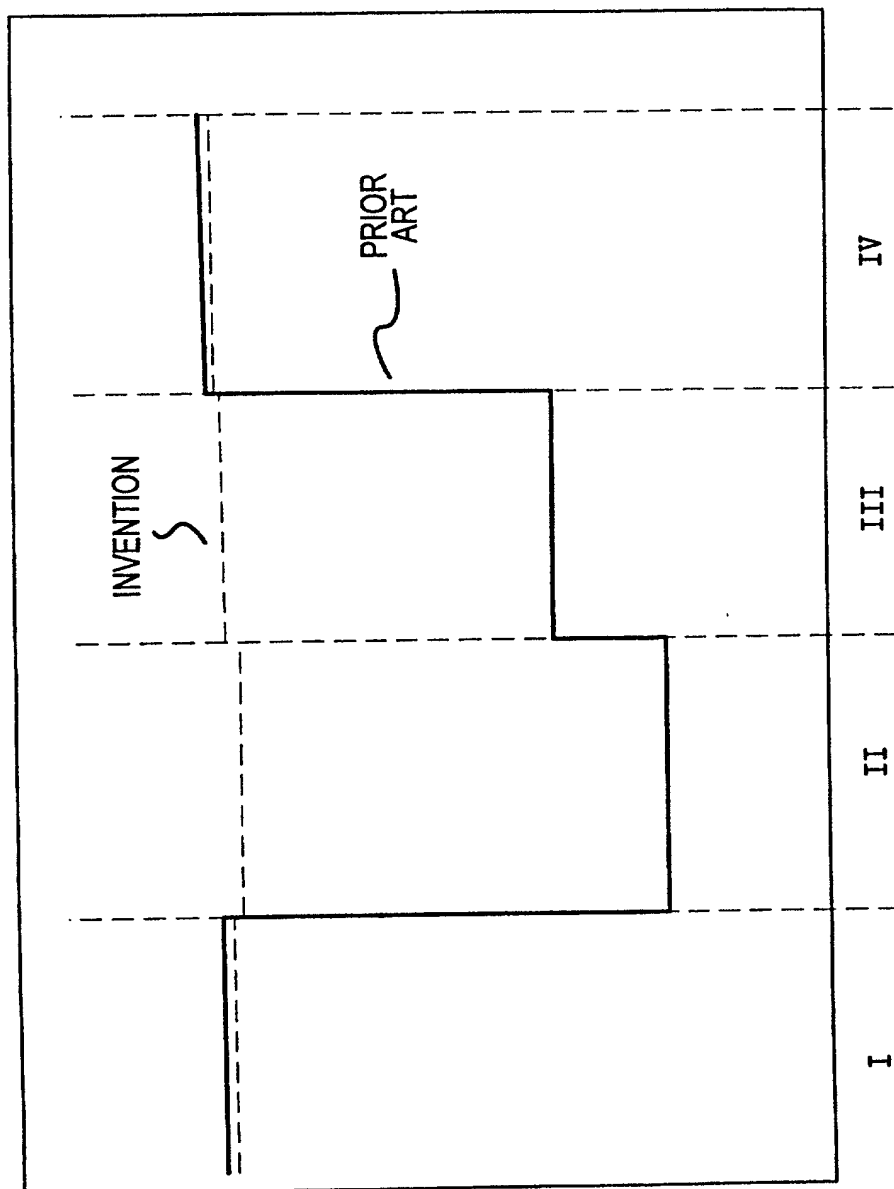


FIG 2



PHASE/TIME

FIG. 3

CHEMICAL FACTOR

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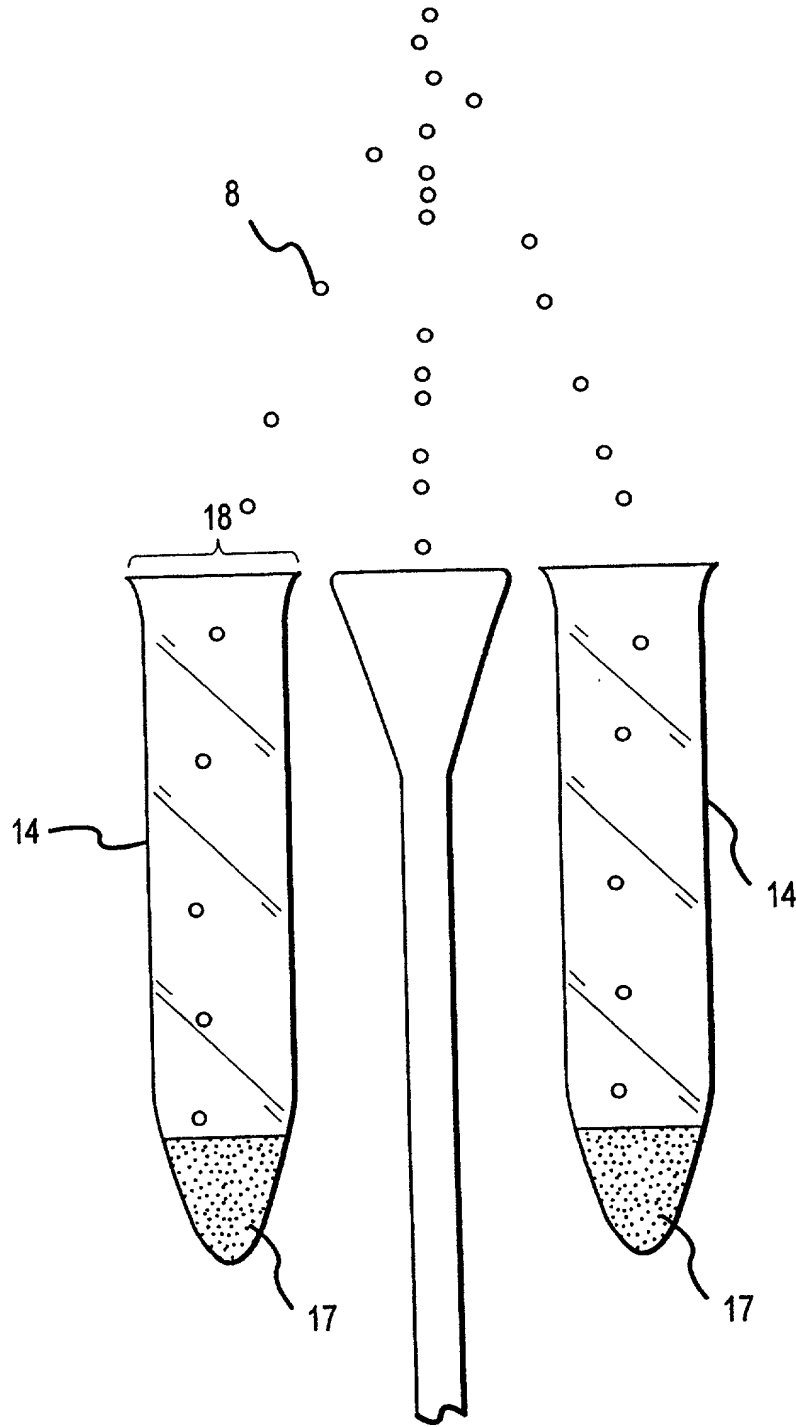


FIG 4

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of: George E. Seidel, Lisa Herickhoff, John Schenk

For: Sex Specific Insemination of Mammals With Low Number of Sperm
Cells

Serial Number: (original US) 09/001,394 (new) _____
(original US) 09/015,454
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(PCT) 31 December 1998

Assignee:

DECLARATION FOR PATENT APPLICATION

This Declaration is made by George E. Seidel, Lisa Herickhoff, John Schenk.

We are citizens of the United States of America and that our residence and mailing addresses are as follows:

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We believe that we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention:

- as originally entitled "Improved Sheath Fluids and Collection Systems for Sex-Specific Cytometer Sorting of Sperm" the specification of which was filed as United States Application Number 09/001,394, filed on December 31, 1997 (the "Original US Application"), and also
- "System for Improving Yield of Sexed Embryos in Mammals" which was filed as United States Application Number 09/015,454, filed on January 29, 1998, and also

- as PCT Application Number PCT/US98/27909 filed on 31 December 1998, and designating the United States of America; this PCT Application being filed while the Original US Application was pending.

We hereby state that we have reviewed and understand the contents of the above-identified specifications, including the claims.

We hereby claim the benefit under Title 35, United States Code § 119, § 120, § 365 and otherwise of each of the above referenced foreign, United States and PCT patent application(s); there are no foreign applications having a filing date before that of the application(s) on which priority is claimed.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56(a). Further, insofar as the subject matter of any claims of this application was not disclosed in the prior United States or PCT International application we acknowledge the duty to disclose information which is known to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: _____

6/29/00

George E. Seidel

George E. Seidel

UNITED STATES OF AMERICA)
STATE OF COLORADO)ss.
COUNTY OF LARIMER)

SUBSCRIBED AND AFFIRMED OR SWORN to before me in the County of Larimer, State of Colorado, United States of America, by George E. Seidel, this 29th day of June, 2000.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Colorado.

Dan Marie Kammerer

Notary Public

My Commission Expires: 4-9-2004

603633 603633 603633

Date:

June 30, 2000

Lisa Herickhoff

Lisa Herickhoff

UNITED STATES OF AMERICA)
STATE OF Colorado)ss.
COUNTY OF Larimer)

SUBSCRIBED AND AFFIRMED OR SWORN to before me in the County of Larimer,
State of Colorado, United States of America, by Lisa Herickhoff, this 30 day of June, 2000.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public
by the State of Colorado.



My Commission Expires 07/15/2003

Shannon M. Nation-Kammerer

Notary Public

My Commission Expires: 7-15-2003

Date: 28 Jan 00

John R. Schenk
John Schenk

UNITED STATES OF AMERICA)
STATE OF COLORADO)ss.
COUNTY OF LARIMER)

SUBSCRIBED AND AFFIRMED OR SWORN to before me in the County of Larimer, State of Colorado, United States of America, by John Schenk, this 28 day of June, 2000.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Colorado.



My Commission Expires 07/15/2003

Shannon M. Nation-Kammerer
Notary Public
My Commission Expires: 7-15-2003